

# THE ORBIT STRUCTURE OF THE GELFAND-ZEITLIN GROUP ON $n \times n$ MATRICES

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*Dedicated to Bertram Kostant on the occasion of his 80th birthday.*

ABSTRACT. In recent work ([9],[10]), Kostant and Wallach construct an action of a simply connected Lie group  $A \simeq \mathbb{C}^{\binom{n}{2}}$  on  $\mathfrak{gl}(n)$  using a completely integrable system derived from the Poisson analogue of the Gelfand-Zeitlin subalgebra of the enveloping algebra. In [9], the authors show that  $A$ -orbits of dimension  $\binom{n}{2}$  form Lagrangian submanifolds of regular adjoint orbits in  $\mathfrak{gl}(n)$ . They describe the orbit structure of  $A$  on a certain Zariski open subset of regular semisimple elements. In this paper, we describe all  $A$ -orbits of dimension  $\binom{n}{2}$  and thus all polarizations of regular adjoint orbits obtained using Gelfand-Zeitlin theory.

## 1. INTRODUCTION

In recent papers ([9], [10]), Bertram Kostant and Nolan Wallach construct an action of a complex, commutative, simply connected Lie group  $A \simeq \mathbb{C}^{\binom{n}{2}}$  on the Lie algebra of  $n \times n$  complex matrices  $\mathfrak{gl}(n)$ . The dimension of this group is exactly half the dimension of a regular adjoint orbit in  $\mathfrak{gl}(n)$  and orbits of  $A$  of dimension  $\binom{n}{2}$  are Lagrangian submanifolds of regular adjoint orbits. We refer to the group  $A$  introduced by Kostant and Wallach as the Gelfand-Zeitlin group, because of its connection with the Gelfand-Zeitlin algebra, as we will explain in section 2.

The group  $A$  and its action are constructed as follows. Given  $i < n$ , we can think of  $\mathfrak{gl}(i) \hookrightarrow \mathfrak{gl}(n)$  as a subalgebra by embedding an  $i \times i$  matrix into the top left-hand corner of an  $n \times n$  matrix. For  $1 \leq i \leq n$  and  $1 \leq j \leq i$ , let  $f_{i,j}(x)$  be the polynomial on  $\mathfrak{gl}(n)$  defined by  $f_{i,j}(x) = \text{tr}(x_i^j)$ , where  $x_i$  denotes the  $i \times i$  submatrix in the top left-hand corner of  $x$ . In [9], it is shown that the functions  $\{f_{i,j} | 1 \leq i \leq n, 1 \leq j \leq i\}$  are algebraically independent and Poisson commute with respect to the Lie-Poisson structure on  $\mathfrak{gl}(n) \simeq \mathfrak{gl}(n)^*$ . The corresponding Hamiltonian vector fields  $\xi_{f_{i,j}}$  generate a commutative Lie algebra  $\mathfrak{a}$  of dimension  $\binom{n}{2}$ . The group  $A$  is defined to be the simply connected, complex Lie group that corresponds to the Lie algebra  $\mathfrak{a}$ . The vector fields  $\xi_{f_{i,j}}$  are complete (Theorem 3.5 in [9]), and therefore  $\mathfrak{a}$  integrates to a global action of  $\mathbb{C}^{\binom{n}{2}}$  on  $\mathfrak{gl}(n)$ . This action of  $\mathbb{C}^{\binom{n}{2}}$  defines the action of the group  $A$  on  $\mathfrak{gl}(n)$ .

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Our goal in this paper is to describe all  $A$ -orbits of dimension  $\binom{n}{2}$ . An element  $x \in \mathfrak{gl}(n)$  is called strongly regular if and only if its  $A$ -orbit is of dimension  $\binom{n}{2}$ . One way of studying such orbits is to study the action of  $A$  on fibres the map  $\Phi : \mathfrak{gl}(n) \rightarrow \mathbb{C}^{\frac{n(n+1)}{2}}$

$$(1.1) \quad \Phi(x) = (p_{1,1}(x_1), p_{2,1}(x_2), \dots, p_{n,n}(x)),$$

where  $p_{i,j}(x_i)$  is the coefficient of  $t^{j-1}$  in the characteristic polynomial of  $x_i$ .

In Theorem 2.3 in [9], the authors show that this map is surjective and that every fibre of this map  $\Phi^{-1}(c) = \mathfrak{gl}(n)_c$  contains strongly regular elements. Following [9], we denote the strongly regular elements in the fibre  $\mathfrak{gl}(n)_c$  by  $\mathfrak{gl}(n)_c^{sreg}$ . By Theorem 3.12 in [9], the  $A$ -orbits in  $\mathfrak{gl}(n)^{sreg}$  are precisely the irreducible components of the fibres  $\mathfrak{gl}(n)_c^{sreg}$ . Thus, our study of the action of  $A$  on  $\mathfrak{gl}(n)^{sreg}$  is reduced to studying the  $A$ -orbit structure of the fibres  $\mathfrak{gl}(n)_c^{sreg}$ . In [9], Kostant and Wallach describe the  $A$ -orbit structure on a special class of fibres that consist of certain regular semisimple elements. In this paper, we describe the  $A$ -orbit structure of  $\mathfrak{gl}(n)_c^{sreg}$  for any  $c \in \mathbb{C}^{\frac{n(n+1)}{2}}$ .

In section 2, we describe the construction of the group  $A$  in [9] in more detail. In section 3, we describe the results in [9] about its orbit structure. We summarize these results briefly here. For any  $x \in \mathfrak{gl}(i)$ , let  $\sigma(x)$  denote the spectrum of  $x$ . In [9], Kostant and Wallach describe the action of the group  $A$  on a Zariski open subset of regular semisimple elements defined by

$$\mathfrak{gl}(n)_\Omega = \{x \in \mathfrak{gl}(n) \mid x_i \text{ is regular semisimple, } \sigma(x_{i-1}) \cap \sigma(x_i) = \emptyset, 2 \leq i \leq n\}.$$

Let  $c_i \in \mathbb{C}^i$  and consider  $c = (c_1, c_2, \dots, c_n) \in \mathbb{C}^1 \times \mathbb{C}^2 \times \dots \times \mathbb{C}^n = \mathbb{C}^{\frac{n(n+1)}{2}}$ . Regard  $c_i = (z_1, \dots, z_i)$  as the coefficients of the degree  $i$  monic polynomial

$$(1.2) \quad p_{c_i}(t) = z_1 + z_2 t + \dots + z_i t^{i-1} + t^i.$$

Let  $\Omega_n$  denote the Zariski open subset of  $\mathbb{C}^{\frac{n(n+1)}{2}}$  given by the tuples  $c$  such that  $p_{c_i}(t)$  has distinct roots and  $p_{c_i}(t)$  and  $p_{c_{i+1}}(t)$  have no roots in common. Clearly,  $\mathfrak{gl}(n)_\Omega = \bigcup_{c \in \Omega_n} \mathfrak{gl}(n)_c$ . The action of  $A$  on  $\mathfrak{gl}(n)_\Omega$  is described in the following theorem. (Theorem 3.2).

**Theorem 1.1.** *The elements of  $\mathfrak{gl}(n)_\Omega$  are strongly regular. If  $c \in \Omega_n$  then  $\mathfrak{gl}(n)_c = \mathfrak{gl}(n)_c^{sreg}$  is precisely one orbit under the action of the group  $A$ . Moreover,  $\mathfrak{gl}(n)_c$  is a homogeneous space for a free, algebraic action of the torus  $(\mathbb{C}^\times)^{\binom{n}{2}}$ .*

In section 4, we give a construction that describes an  $A$ -orbit in an arbitrary fibre  $\mathfrak{gl}(n)_c^{sreg}$  as the image of a certain morphism of a commutative, connected algebraic group into  $\mathfrak{gl}(n)_c^{sreg}$ . The construction in section 4 gives a bijection between  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$  and orbits of a product of connected, commutative algebraic groups acting freely on a fairly simple variety, but it does not enumerate the  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$ . In section 5, we use the construction developed in section 4 and combinatorial data of the fibre  $\mathfrak{gl}(n)_c^{sreg}$  to give explicit descriptions of the  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$ . The main result is Theorem 5.11, which contrasts substantially with the generic case described in Theorem 1.1.

**Theorem 1.2.** *Let  $c = (c_1, c_2, \dots, c_n) \in \mathbb{C}^1 \times \mathbb{C}^2 \times \dots \times \mathbb{C}^n = \mathbb{C}^{\frac{n(n+1)}{2}}$  be such that there are  $0 \leq j_i \leq i$  roots in common between the monic polynomials  $p_{c_i}(t)$  and  $p_{c_{i+1}}(t)$ . Then the number of  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$  is exactly  $2^{\sum_{i=1}^{n-1} j_i}$ . For  $x \in \mathfrak{gl}(n)_c^{sreg}$ , let  $Z_i$  denote the centralizer of the Jordan form of  $x_i$  in  $\mathfrak{gl}(i)$ . The orbits of  $A$  on  $\mathfrak{gl}(n)_c^{sreg}$  are the orbits of a free algebraic action of the complex, commutative, connected algebraic group  $Z = Z_1 \times \dots \times Z_{n-1}$  on  $\mathfrak{gl}(n)_c^{sreg}$ .*

**Remark 1.3.** After the results of this paper were established, a very interesting paper by Roger Bielawski and Victor Pidstrygach appeared in [1] proving similar results. The arguments are completely different, and the proofs were formed independently. In [1], the authors define an action of  $A$  on the space of rational maps of fixed degree from the Riemann sphere into the flag manifold for  $GL(n+1)$  and use symplectic reduction to obtain results about the strongly regular set. They also show that there are  $2^{\sum_{i=1}^{n-1} j_i}$   $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$ ,  $c$  as in Theorem 1.2. Our work differs from that of [1] in that we explicitly list the  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$  and obtain an algebraic action of  $Z_1 \times \dots \times Z_{n-1}$  on  $\mathfrak{gl}(n)_c^{sreg}$  whose orbits are the same as those of  $A$ . In spite of the relation between these papers, we feel that our paper provides a different and more precise perspective on the problem and deserves a place in the literature.

The nilfibre  $\mathfrak{gl}(n)_0 = \Phi^{-1}(0)$  contains some of the most interesting structure in regards to the action of  $A$ . The fibre  $\mathfrak{gl}(n)_0$  has been studied extensively by Lie theorists and numerical linear algebraists. Parlett and Strang [12] have studied matrices in  $\mathfrak{gl}(n)_0$  and have obtained interesting results. Ovsienko [11] has also studied  $\mathfrak{gl}(n)_0$ , and has shown that it is a complete intersection. It turns out that the  $A$ -orbits in  $\mathfrak{gl}(n)_0^{sreg}$  correspond to  $2^{n-1}$  Borel subalgebras of  $\mathfrak{gl}(n)$ . The main results are contained in Theorems 5.2 and 5.5. We combine them into one single statement here.

**Theorem 1.4.** *The nilfibre  $\mathfrak{gl}(n)_0^{sreg}$  contains  $2^{n-1}$   $A$ -orbits. For  $x \in \mathfrak{gl}(n)_0^{sreg}$ , let  $\overline{A \cdot x}$  denote the Zariski (=Hausdorff) closure of  $A \cdot x$ . Then  $\overline{A \cdot x}$  is a nilradical of a Borel subalgebra in  $\mathfrak{gl}(n)$  that contains the standard Cartan subalgebra of diagonal matrices.*

The nilradicals obtained as closures of  $A$ -orbits in  $\mathfrak{gl}(n)_0^{sreg}$  are described explicitly in Theorem 5.5. We also describe the permutations that conjugate the strictly lower triangular matrices into each of these  $2^{n-1}$  nilradicals in Theorem 5.7.

Theorem 1.2 lets us identify exactly where the action of the group  $A$  is transitive on  $\mathfrak{gl}(n)_c^{sreg}$ . (See Corollary 5.13 and Remark 5.14).

**Corollary 1.5.** *The action of  $A$  is transitive on  $\mathfrak{gl}(n)_c^{sreg}$  if and only if  $p_{c_i}(t)$  and  $p_{c_{i+1}}(t)$  are relatively prime for each  $i$ ,  $1 \leq i \leq n-1$ . Moreover, for such  $c \in \mathbb{C}^{\frac{n(n+1)}{2}}$  we have  $\mathfrak{gl}(n)_c = \mathfrak{gl}(n)_c^{sreg}$ .*

This corollary allows us to identify the maximal subset of  $\mathfrak{gl}(n)$  on which the action of  $A$  is transitive on the fibres of the map  $\Phi$  in (1.1) over this set. The set  $\mathfrak{gl}(n)_\Omega$  is a proper open subset of this maximal set. This is discussed in detail in section 5.3.

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## 2. THE GROUP $A$

We briefly discuss the construction of an analytic action of a group  $A \simeq \mathbb{C}^{\binom{n}{2}}$  on  $\mathfrak{gl}(n)$  that appears in [9] (see also [3]).

We view  $\mathfrak{gl}(n)^*$  as a Poisson manifold with the Lie-Poisson structure (see [14], [2]). Recall that the Lie Poisson structure is the unique Poisson structure on the symmetric algebra  $S(\mathfrak{gl}(n)) = \mathbb{C}[\mathfrak{gl}(n)^*]$  such that if  $x, y \in S^1(\mathfrak{gl}(n))$ , then their Poisson bracket  $\{x, y\} = [x, y]$  is their Lie bracket. We use the trace form to transfer the Poisson structure from  $\mathfrak{gl}(n)^*$  to  $\mathfrak{gl}(n)$ . For  $i \leq n$ , we can view  $\mathfrak{gl}(i) \hookrightarrow \mathfrak{gl}(n)$  as a subalgebra, simply by embedding an  $i \times i$  matrix in the top left-hand corner of an  $n \times n$  matrix.

$$(2.1) \quad Y \hookrightarrow \begin{bmatrix} Y & 0 \\ 0 & 0 \end{bmatrix}.$$

We also have a corresponding embedding of the adjoint groups  $GL(i) \hookrightarrow GL(n)$

$$g \hookrightarrow \begin{bmatrix} g & 0 \\ 0 & Id_{n-i} \end{bmatrix}.$$

For the purposes of this paper, we always think of  $\mathfrak{gl}(i) \hookrightarrow \mathfrak{gl}(n)$  and  $GL(i) \hookrightarrow GL(n)$  via these embeddings, unless otherwise stated.

We can use the embedding (2.1) to realize  $\mathfrak{gl}(i)$  as a summand of  $\mathfrak{gl}(n)$ . Indeed, we have

$$(2.2) \quad \mathfrak{gl}(n) = \mathfrak{gl}(i) \oplus \mathfrak{gl}(i)^\perp,$$

where  $\mathfrak{gl}(i)^\perp$  denotes the orthogonal complement of  $\mathfrak{gl}(i)$  in  $\mathfrak{gl}(n)$  with respect to the trace form. It is convenient for us to have a coordinate description of this decomposition. We make the following definition.

**Definition 2.1.** For  $x \in \mathfrak{gl}(n)$ , we let  $x_i \in \mathfrak{gl}(i)$  be the top left-hand corner of  $x$ , i.e.  $(x_i)_{k,l} = x_{k,l}$  for  $1 \leq k, l \leq i$ . We refer to  $x_i$  as the  $i \times i$  cutoff of  $x$ .

Given a  $y \in \mathfrak{gl}(n)$  its decomposition in (2.2) is written  $y = y_i \oplus y_i^\perp$  where  $y_i^\perp$  denotes the entries  $y_{k,l}$  where  $k, l$  are not both in the set  $\{1, \dots, i\}$ . Using the decomposition in (2.2), we can think of the polynomials on  $\mathfrak{gl}(i)$ ,  $P(\mathfrak{gl}(i))$ , as a Poisson subalgebra of the polynomials on  $\mathfrak{gl}(n)$ ,  $P(\mathfrak{gl}(n))$ . Explicitly, if  $f \in P(\mathfrak{gl}(i))$ , (2.2) gives  $f(x) = f(x_i)$  for

$x \in \mathfrak{gl}(n)$ . The Poisson structure on  $P(\mathfrak{gl}(i))$  inherited from  $P(\mathfrak{gl}(n))$  agrees with the Lie-Poisson structure on  $P(\mathfrak{gl}(i))$  (see [9], pg. 330).

Since  $\mathfrak{gl}(n)$  is a Poisson manifold, we have the notion of a Hamiltonian vector field  $\xi_f$  for any holomorphic function  $f \in \mathcal{O}(\mathfrak{gl}(n))$ . If  $g \in \mathcal{O}(\mathfrak{gl}(n))$ , then  $\xi_f(g) = \{f, g\}$ . The group  $A$  is defined as the simply connected, complex Lie group that corresponds to a certain Lie algebra of Hamiltonian vector fields on  $\mathfrak{gl}(n)$ . To define this Lie algebra of vector fields, we consider the subalgebra of  $P(\mathfrak{gl}(n))$  generated by the adjoint invariant polynomials for each of the subalgebras  $\mathfrak{gl}(i)$ ,  $1 \leq i \leq n$ .

$$(2.3) \quad J(\mathfrak{gl}(n)) = P(\mathfrak{gl}(1))^{GL(1)} \otimes \cdots \otimes P(\mathfrak{gl}(n))^{GL(n)}.$$

This algebra may be viewed as a classical analogue of the Gelfand-Zeitlin subalgebra of the universal enveloping algebra  $U(\mathfrak{gl}(n))$  (see [5]). As  $P(\mathfrak{gl}(i))^{GL(i)}$  is in the Poisson centre of  $P(\mathfrak{gl}(i))$ , it is easy to see that  $J(\mathfrak{gl}(n))$  is Poisson commutative. (See Proposition 2.1 in [9].) Let  $f_{i,1}, \dots, f_{i,i}$  generate the ring  $P(\mathfrak{gl}(i))^{GL(i)}$ . Then  $J(\mathfrak{gl}(n))$  is generated by  $\{f_{i,1}, \dots, f_{i,i} | 1 \leq i \leq n\}$ . Note that the sum

$$\sum_{i=1}^{n-1} i = \frac{n(n-1)}{2} = \binom{n}{2}$$

is half the dimension of a regular adjoint orbit in  $\mathfrak{gl}(n)$ . We will see shortly that the functions  $\{f_{i,1}, \dots, f_{i,i} | 1 \leq i \leq n-1\}$  form a completely integrable system on a regular adjoint orbit.

The surprising fact about this integrable system proven by Kostant and Wallach in [9] is that the corresponding Hamiltonian vector fields  $\{\xi_{f_{i,j}} | 1 \leq j \leq i, 1 \leq i \leq n-1\}$  are complete (see Theorem 3.5 in [9]). Let  $f_{i,j} = \text{tr}(x_i^j)$  and let  $\mathfrak{a} = \{\xi_{f_{i,j}} | 1 \leq j \leq i, 1 \leq i \leq n-1\}$ . We define  $A$  as the simply connected, complex Lie group corresponding to the Lie algebra  $\mathfrak{a}$ . Since the vector fields  $\xi_{f_{i,j}}$  commute for all  $i$  and  $j$ , the corresponding (global) flows define a global action of  $\mathbb{C}^{\binom{n}{2}}$  on  $\mathfrak{gl}(n)$ .  $A \simeq \mathbb{C}^{\binom{n}{2}}$ , and it acts on  $\mathfrak{gl}(n)$  by composing these flows in any order. The action of  $A$  also preserves adjoint orbits. (See [9], Theorems 3.3, 3.4.)

The action of  $A \simeq \mathbb{C}^{\binom{n}{2}}$  may seem at first glance to be non-canonical as choices are involved in its definition. However, one can show that the orbit structure of  $\mathbb{C}^{\binom{n}{2}}$  given by integrating the complete vector fields  $\xi_{f_{i,j}}$  is independent of the choice of generators  $f_{i,j}$  for  $P(\mathfrak{gl}(i))^{GL(i)}$ . (See Theorem 3.5 in [9].) Since we are interested in studying the geometry of these orbits, we lose no information by fixing a choice of generators.

**Remark 2.2.** Using the Gelfand-Zeitlin algebra for complex orthogonal Lie algebras  $\mathfrak{so}(n)$ , we can define an analogous group,  $\mathbb{C}^d$  where  $d$  is half the dimension of a regular adjoint orbit in  $\mathfrak{so}(n)$ . The construction of the group and the study of its orbit structure on certain regular semisimple elements of  $\mathfrak{so}(n)$  is discussed in detail in [3].

For our choice of generators, we can write down the Hamiltonian vector fields  $\xi_{f_{i,j}}$  in coordinates and their corresponding global flows. To do this, we use the following

notation. Given  $x, z \in \mathfrak{gl}(n)$ , we denote the directional derivative in the direction of  $z$  evaluated at  $x$  by  $\partial_x^z$ . Its action on function on a holomorphic function  $f$  is

$$(2.4) \quad \partial_x^z f = \frac{d}{dt} \Big|_{t=0} f(x + tz).$$

By Theorem 2.12 in [9]

$$(2.5) \quad (\xi_{f_{i,j}})_x = \partial_x^{[-jx_i^{j-1}, x]}.$$

We see that  $\xi_{f_{i,j}}$  integrates to an action of  $\mathbb{C}$  on  $\mathfrak{gl}(n)$  given by

$$(2.6) \quad \text{Ad}(\exp(tjx_i^{j-1})) \cdot x$$

for  $t \in \mathbb{C}$ , where  $x_i^0 = Id_i \in \mathfrak{gl}(i)$ .

**Remark 2.3.** The orbits of  $A$  are the composition of the (commuting) flows in (2.6) for  $1 \leq i \leq n-1$ ,  $1 \leq j \leq i$  in any order acting on  $x \in \mathfrak{gl}(n)$ . It is easy to see using (2.6) that the action of  $A$  stabilizes adjoint orbits.

Equation (2.5) gives us a convenient description of the tangent space to the action of  $A$  on  $\mathfrak{gl}(n)$ . We first need some notation. If  $x \in \mathfrak{gl}(n)$ , let  $Z_{x_i}$  be the associative subalgebra of  $\mathfrak{gl}(i)$  generated by the elements  $Id_i, x_i, x_i^2, \dots, x_i^{i-1}$ . We then let  $Z_x = \sum_{i=1}^n Z_{x_i}$ . Let  $x \in \mathfrak{gl}(n)$  and let  $A \cdot x$  denote its  $A$ -orbit. Then equation (2.5) gives us

$$T_x(A \cdot x) = \text{span}\{(\xi_{f_{i,j}})_x | 1 \leq i \leq n-1, 1 \leq j \leq i\} = \text{span}\{\partial_x^{[z,x]} | z \in Z_x\}.$$

Following the notation in [9], we denote

$$(2.7) \quad V_x := \text{span}\{\partial_x^{[z,x]} | z \in Z_x\} = T_x(A \cdot x) \subset T_x(\mathfrak{gl}(n)).$$

Our work focuses on orbits of  $A$  of maximal dimension  $\binom{n}{2}$ , as such orbits form Lagrangian submanifolds of regular adjoint orbits. (If such orbits exist, they are the leaves of a maximal dimension of the Gelfand-Zeitlin integrable system.) Accordingly, we make the following theorem-definition. (See Theorem 2.7 and Remark 2.8 in [9]).

**Theorem-Definition 2.4.**  $x \in \mathfrak{gl}(n)$  is called strongly regular if and only if the differentials  $\{(df_{i,j})_x | 1 \leq i \leq n, 1 \leq j \leq i\}$  are linearly independent at  $x$ . Equivalently,  $x$  is strongly regular if the  $A$ -orbit of  $x$ ,  $A \cdot x$  has  $\dim(A \cdot x) = \binom{n}{2}$ . We denote the set of strongly regular elements of  $\mathfrak{gl}(n)$  by  $\mathfrak{gl}(n)^{sreg}$ .

The goal of the paper is to determine the  $A$ -orbit structure of  $\mathfrak{gl}(n)^{sreg}$ . In [9], Kostant and Wallach produce strongly regular elements using the map  $\Phi : \mathfrak{gl}(n) \rightarrow \mathbb{C}^{\frac{n(n+1)}{2}}$ ,

$$(2.8) \quad \Phi(x) = (p_{1,1}(x_1), p_{2,1}(x_2), \dots, p_{n,n}(x)),$$

where  $p_{i,j}(x_i)$  is the coefficient of  $t^{j-1}$  in the characteristic polynomial of  $x_i$ .

One of the major results in [9] is the following theorem concerning  $\Phi$ . (See Theorem 2.3 in [9].)

**Theorem 2.5.** *Let  $\mathfrak{b} \subset \mathfrak{gl}(n)$  denote the standard Borel subalgebra of upper triangular matrices in  $\mathfrak{gl}(n)$ . Let  $f$  be the sum of the negative simple root vectors. Then the restriction of  $\Phi$  to the affine variety  $f + \mathfrak{b}$  is an algebraic isomorphism.*

We will refer to the elements of  $f + \mathfrak{b}$  as Hessenberg matrices. They are matrices of the form

$$f + \mathfrak{b} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n-1} & a_{1n} \\ 1 & a_{22} & \cdots & a_{2n-1} & a_{2n} \\ 0 & 1 & \cdots & a_{3n-1} & a_{3n} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & a_{nn} \end{bmatrix}.$$

Note that Theorem 2.5 implies that if  $x \in f + \mathfrak{b}$ , then the differentials  $\{(dp_{i,j})_x | 1 \leq i \leq n, 1 \leq j \leq i\}$  are linearly independent. The sets of functions  $\{f_{i,j} | 1 \leq i \leq n, 1 \leq j \leq i\}$  and  $\{p_{i,j} | 1 \leq i \leq n, 1 \leq j \leq i\}$  both generate the classical analogue of the Gelfand-Zeitlin algebra  $J(\mathfrak{gl}(n))$  (see (2.3)). It follows that for any  $x \in \mathfrak{gl}(n)$ ,  $\text{span}\{(df_{i,j})_x | 1 \leq i \leq n, 1 \leq j \leq i\} = \text{span}\{(dp_{i,j})_x | 1 \leq i \leq n, 1 \leq j \leq i\}$  by the Leibniz rule. Theorem 2.5 then implies

$f + \mathfrak{b} \subset \mathfrak{gl}(n)^{sreg}$  and therefore  $\mathfrak{gl}(n)^{sreg}$  is a non-empty Zariski open subset of  $\mathfrak{gl}(n)$ .

Thus, the functions

$\{f_{i,j} | 1 \leq i \leq n, 1 \leq j \leq i\}$  are algebraically independent.

For  $c = (c_1, c_2, \dots, c_n) \in \mathbb{C} \times \mathbb{C}^2 \times \dots \times \mathbb{C}^n = \mathbb{C}^{\frac{n(n+1)}{2}}$  we denote the fibre  $\Phi^{-1}(c) = \mathfrak{gl}(n)_c$ ,  $\Phi$  as in (2.8). For  $c_i \in \mathbb{C}^i$ , we define a monic polynomial  $p_{c_i}(t)$  with coefficients given by  $c_i$  as in (1.2).  $x \in \mathfrak{gl}(n)_c$  if and only if  $x_i$  has characteristic polynomial  $p_{c_i}(t)$  for all  $i$ . Theorem 2.5 says that for any  $c \in \mathbb{C}^{\frac{n(n+1)}{2}}$ ,  $\mathfrak{gl}(n)_c$  is non-empty and contains a unique Hessenberg matrix. We denote the strongly regular elements of the fibre  $\mathfrak{gl}(n)_c$ , by  $\mathfrak{gl}(n)_c^{sreg}$  that is

$$\mathfrak{gl}(n)_c^{sreg} = \mathfrak{gl}(n)_c \cap \mathfrak{gl}(n)^{sreg}.$$

Since Hessenberg matrices are strongly regular, we get

$\mathfrak{gl}(n)_c^{sreg}$  is a non-empty Zariski open subset of  $\mathfrak{gl}(n)_c$

for any  $c \in \mathbb{C}^{\frac{n(n+1)}{2}}$ .

Theorem 2.5 implies that every regular adjoint orbit contains strongly regular elements. This follows from the fact that a regular adjoint orbit contains a companion matrix, which is Hessenberg. We can then use  $A$ -orbits of dimension  $\binom{n}{2}$  to construct polarizations of dense, open submanifolds of regular adjoint orbits. Hence, the Gelfand-Zeitlin system is completely integrable on each regular adjoint orbit (Theorem 3.36 in [9]).

Our goal is to give a complete description of the  $A$ -orbit structure of  $\mathfrak{gl}(n)^{sreg}$ . It follows from the Poisson commutativity of the algebra  $J(\mathfrak{gl}(n))$  in (2.3) that the fibres  $\mathfrak{gl}(n)_c$  are  $A$ -stable. Whence, the fibres  $\mathfrak{gl}(n)_c^{sreg}$  are  $A$ -stable. Moreover, Theorem 3.12 in [9] implies

that the  $A$ -orbits in  $\mathfrak{gl}(n)^{sreg}$  are the irreducible components of the fibres  $\mathfrak{gl}(n)_c^{sreg}$ . From this it follows that

there are only finitely many  $A$ -orbits in the fibre  $\mathfrak{gl}(n)_c^{sreg}$ .

In this paper, we describe the  $A$ -orbit structure of an arbitrary fibre  $\mathfrak{gl}(n)_c^{sreg}$  and count the exact number of  $A$ -orbits in the fibre. This gives a complete description of the  $A$ -orbit structure of  $\mathfrak{gl}(n)^{sreg}$ .

**Remark 2.6.** Note that the collection of fibres of the map  $\Phi$  is the same as the collection of fibres of the moment map for the  $A$ -action  $x \rightarrow (f_{1,1}(x_1), f_{2,1}(x_2), \dots, f_{n,n}(x))$ . Thus, studying the action of  $A$  on the fibres of  $\Phi$  is essentially studying the action of  $A$  on the fibres of the corresponding moment map. We use the map  $\Phi$  instead of the moment map, since it is easier to describe the fibres of  $\Phi$ .

For our purposes, it is convenient to have a more concrete characterization of strongly regular elements. (See Theorem 2.14 in [9].)

**Proposition 2.7.** *Let  $x \in \mathfrak{gl}(n)$  and let  $\mathfrak{z}_{\mathfrak{gl}(i)}(x_i)$  denote the centralizer in  $\mathfrak{gl}(i)$  of  $x_i$ . Then  $x$  is strongly regular if and only if the following two conditions hold.*

- (a)  $x_i \in \mathfrak{gl}(i)$  is regular for all  $i$ ,  $1 \leq i \leq n$ .
- (b)  $\mathfrak{z}_{\mathfrak{gl}(i-1)}(x_{i-1}) \cap \mathfrak{z}_{\mathfrak{gl}(i)}(x_i) = 0$  for all  $2 \leq i \leq n$ .

### 3. THE ACTION OF $A$ ON GENERIC MATRICES

For  $x \in \mathfrak{gl}(i)$ , let  $\sigma(x)$  denote the spectrum of  $x$ , where  $x$  is viewed as an element of  $\mathfrak{gl}(i)$ . We consider the following Zariski open subset of regular semisimple elements of  $\mathfrak{gl}(n)$

$$(3.1) \quad \mathfrak{gl}(n)_\Omega = \{x \in \mathfrak{gl}(n) \mid x_i \text{ is regular semisimple, } \sigma(x_{i-1}) \cap \sigma(x_i) = \emptyset, 2 \leq i \leq n\}.$$

Kostant and Wallach give a complete description of the action of  $A$  on  $\mathfrak{gl}(n)_\Omega$ . We give an example of a matrix in  $\mathfrak{gl}(3)_\Omega$ .

**Example 3.1.** Consider the matrix in  $\mathfrak{gl}(3)$

$$X = \begin{bmatrix} 1 & 2 & 16 \\ 1 & 0 & 4 \\ 0 & 1 & -3 \end{bmatrix}.$$

We can compute that  $X$  has eigenvalues  $\sigma(X) = \{-3, 3, -2\}$  so that  $X$  is regular semisimple and that  $\sigma(X_2) = \{2, -1\}$ . Clearly  $\sigma(X_1) = \{1\}$ . Thus  $X \in \mathfrak{gl}(3)_\Omega$ .

We recall the notational convention introduced in (1.2). (If  $c_i = (z_1, z_2, \dots, z_i) \in \mathbb{C}^i$ , then  $p_{c_i}(t) = z_1 + z_2 t + \dots + z_i t^{i-1} + t^i$ .) Let  $\Omega_n \subset \mathbb{C}^{\frac{n(n+1)}{2}}$  be the Zariski open subset consisting of  $c \in \mathbb{C}^{\frac{n(n+1)}{2}}$  with  $c = (c_1, \dots, c_i, \dots, c_n)$  such that  $p_{c_i}(t)$  has distinct roots and  $p_{c_i}(t)$  and  $p_{c_{i+1}}(t)$  have no roots in common (remark 2.16 in [9]). It is easy to see that  $\mathfrak{gl}(n)_\Omega = \bigcup_{c \in \Omega_n} \mathfrak{gl}(n)_c$ .



Kostant and Wallach describe the  $A$ -orbit structure on  $\mathfrak{gl}(n)_\Omega$  in Theorems 3.23 and 3.28 in [9]. We summarize the results of both of these theorems in one statement below.

**Theorem 3.2.** *The elements of  $\mathfrak{gl}(n)_\Omega$  are strongly regular. If  $c \in \Omega_n$ , then  $\mathfrak{gl}(n)_c = \mathfrak{gl}(n)_c^{sreg}$  is precisely one orbit under the action of the group  $A$ . Moreover,  $\mathfrak{gl}(n)_c$  is a homogeneous space for a free, algebraic action of the torus  $(\mathbb{C}^\times)^{\binom{n}{2}}$ .*

We sketch the ideas behind one possible proof of Theorem 3.2 in the case of  $\mathfrak{gl}(3)$ . For complete proofs and a more thorough explanation, see either [9] or [3].

For  $x \in \mathfrak{gl}(3)$  its  $A$ -orbit is

$$(3.2) \quad \text{Ad} \left( \begin{bmatrix} z_1 & & \\ & 1 & \\ & & 1 \end{bmatrix} \begin{bmatrix} z_2 & & \\ & z_2 & \\ & & 1 \end{bmatrix} \begin{bmatrix} \exp(tx_2) & & \\ & & \\ & & 1 \end{bmatrix} \right) \cdot x,$$

where  $z_1, z_2 \in \mathbb{C}^\times$  and  $t \in \mathbb{C}$ . (See equation (2.6).)

If we let  $Z_i \subset GL(i)$  be the centralizer of  $x_i$  in  $GL(i)$ , we notice from (3.2) the action of  $A$  appears to push down to an action of  $Z_1 \times Z_2$ . For  $x \in \mathfrak{gl}(3)_\Omega$ , we should then expect to see an action of  $(\mathbb{C}^\times)^3$  as realizing the action of  $A$ .

Working directly from the definition of the action of  $A$  in (3.2) is cumbersome. The action of  $Z_2$  on  $x_2$  would be much easier to write down if  $x_2$  were diagonal. For  $x \in \mathfrak{gl}(3)_\Omega$ ,  $x_2$  is not diagonal, but it is diagonalizable. So, we first diagonalize  $x_2$  and then conjugate by the centralizer  $Z_2 = (\mathbb{C}^\times)^2$ . If  $\gamma(x) \in GL(2)$  is such  $(\text{Ad}(\gamma(x)) \cdot x)_2$  is diagonal, then we can define an action of  $(\mathbb{C}^\times)^3$  on  $\mathfrak{gl}(3)_c$  for  $c \in \Omega_3$  by

$$(3.3) \quad (z'_1, z'_2, z'_3) \cdot x = \text{Ad} \left( \begin{bmatrix} z'_1 & & \\ & 1 & \\ & & 1 \end{bmatrix} \gamma(x)^{-1} \begin{bmatrix} z'_2 & & \\ & z'_3 & \\ & & 1 \end{bmatrix} \gamma(x) \right) \cdot x,$$

with  $z'_i \in \mathbb{C}^\times$ .

We can show (3.3) is a simply transitive algebraic group action on  $\mathfrak{gl}(3)_c$  by explicit computation. Comparing (3.3) and (3.2), it is not hard to believe that the action of  $(\mathbb{C}^\times)^3$  in (3.3) has the same orbits as the action of  $A$  on  $\mathfrak{gl}(3)_c$ . To prove this precisely, one needs to see that  $\mathfrak{gl}(3)_c^{sreg} = \mathfrak{gl}(3)_c$ . This can be proven by computing the tangent space to the action of  $(\mathbb{C}^\times)^3$  in (3.3) and showing that it is same as the subspace  $V_x$  in (2.7), or by appealing to Theorem 2.17 in [9]. The fact that  $\mathfrak{gl}(3)_c$  is one  $A$ -orbit then follows easily by applying Theorem 3.12 in [9].

This line of argument is not the one used in [9] to prove Theorem 3.2. The ideas here go back to a preliminary approach by Kostant and Wallach. However, it is this method that generalizes to describe all orbits of  $A$  in  $\mathfrak{gl}(n)^{sreg}$ . We describe the general construction in the next section.

4. CONSTRUCTING NON-GENERIC  $A$ -ORBITS

**4.1. Overview.** In the next three sections, we classify  $A$ -orbits in  $\mathfrak{gl}(n)^{sreg}$  by determining the  $A$ -orbit structure of an arbitrary fibre  $\mathfrak{gl}(n)_c^{sreg}$ . Let  $c_i \in \mathbb{C}^i$  and  $p_{c_i}(t) = (t - \lambda_1)^{n_1} \cdots (t - \lambda_r)^{n_r}$  with  $\lambda_j \neq \lambda_k$  for  $j \neq k$  (see 1.2). To study the action of  $A$  on  $\mathfrak{gl}(n)_c$  with  $c = (c_1, \dots, c_i, c_{i+1}, \dots, c_n) \in \mathbb{C}^1 \times \cdots \times \mathbb{C}^i \times \mathbb{C}^{i+1} \times \cdots \times \mathbb{C}^n = \mathbb{C}^{\frac{n(n+1)}{2}}$ , we consider elements of  $\mathfrak{gl}(i+1)$  of the form

$$(4.1) \quad \begin{bmatrix} \begin{bmatrix} \lambda_1 & 1 & \cdots & 0 \\ 0 & \lambda_1 & \ddots & \vdots \\ \vdots & & \ddots & 1 \\ 0 & \cdots & \cdots & \lambda_1 \end{bmatrix} & & & & & & \begin{matrix} y_{1,1} \\ \vdots \\ \vdots \\ y_{1,n_1} \\ \vdots \end{matrix} \\ & & 0 & & & & \\ & & & \ddots & & & \\ & & & & \begin{bmatrix} \lambda_r & 1 & \cdots & 0 \\ 0 & \lambda_r & \ddots & \vdots \\ \vdots & & \ddots & 1 \\ 0 & \cdots & \cdots & \lambda_r \end{bmatrix} & & \begin{matrix} y_{r,1} \\ \vdots \\ \vdots \\ y_{r,n_r} \end{matrix} \\ & & & & 0 & & \\ & & & & & & \\ z_{1,1} & \cdots & \cdots & z_{1,n_1} & \cdots & z_{r,1} & \cdots & \cdots & z_{r,n_r} & w \end{bmatrix}$$

with characteristic polynomial  $p_{c_{i+1}}(t)$ .

To avoid ambiguity, it is necessary to order the Jordan blocks of the  $i \times i$  cutoff of the matrix in (4.1). To do this, we introduce a lexicographical ordering on  $\mathbb{C}$  defined as follows. Let  $z_1, z_2 \in \mathbb{C}$ , we say that  $z_1 > z_2$  if and only if  $\operatorname{Re} z_1 > \operatorname{Re} z_2$  or if  $\operatorname{Re} z_1 = \operatorname{Re} z_2$ , then  $\operatorname{Im} z_1 > \operatorname{Im} z_2$ .

**Definition 4.1.** Let  $c_i \in \mathbb{C}^i$  be such that  $p_{c_i}(t) = (t - \lambda_1)^{n_1} \cdots (t - \lambda_r)^{n_r}$  with  $\lambda_j \neq \lambda_k$  (as in (1.2)) and let  $\lambda_1 > \lambda_2 > \cdots > \lambda_r$  in the lexicographical ordering on  $\mathbb{C}$ . For  $c_{i+1} \in \mathbb{C}^{i+1}$ , we define  $\Xi_{c_i, c_{i+1}}^i$  as the set of elements  $x \in \mathfrak{gl}(i+1)$  of the form (4.1) whose characteristic polynomial is  $p_{c_{i+1}}(t)$ . We refer to  $\Xi_{c_i, c_{i+1}}^i$  as the solution variety at level  $i$ .

We know from Theorem 2.5 that  $\Xi_{c_i, c_{i+1}}^i$  is non-empty for any  $c_i \in \mathbb{C}^i$  and any  $c_{i+1} \in \mathbb{C}^{i+1}$ . Let us denote the regular Jordan form which is the  $i \times i$  cutoff of the matrix in (4.1) by  $J$ . Let  $Z_i$  denote the centralizer of  $J$  in  $GL(i)$ . As  $J$  is regular,  $Z_i$  is a connected, abelian algebraic group (see Proposition 14 in [8]).  $Z_i$  acts algebraically on the solution variety  $\Xi_{c_i, c_{i+1}}^i$  by conjugation. In the remainder of section 4, we give a bijection between  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$  and free  $Z_1 \times \cdots \times Z_{n-1}$  orbits on  $\Xi_{c_1, c_2}^1 \times \cdots \times \Xi_{c_{n-1}, c_n}^{n-1}$ . In Section 5, we will classify the  $Z_i$ -orbits on  $\Xi_{c_i, c_{i+1}}^i$  using combinatorial data of the tuple  $c \in \mathbb{C}^{\frac{n(n+1)}{2}}$ . We will then have a complete picture of the  $A$  action on  $\mathfrak{gl}(n)_c^{sreg}$ .

We now give a brief outline of the construction, which gives the bijection between  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$  and  $Z_1 \times \cdots \times Z_{n-1}$  orbits in  $\Xi_{c_1, c_2}^1 \times \cdots \times \Xi_{c_{n-1}, c_n}^{n-1}$ . This construction not

only describes  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$ , but all  $A$ -orbits in the larger set  $\mathfrak{gl}(n)_c \cap S$ , where  $S$  is the Zariski open subset of  $\mathfrak{gl}(n)$  consisting of elements  $x$  whose cutoffs  $x_i$  for  $1 \leq i \leq n-1$  are regular. We know by Proposition 2.7 (a) that  $\mathfrak{gl}(n)_c^{sreg} \subset \mathfrak{gl}(n)_c \cap S$ , and it is in general a proper subset. (See Example 5.4 below.)

The construction proceeds as follows. For  $1 \leq i \leq n-2$ , we choose a  $Z_i$ -orbit  $\mathcal{O}_{a_i}^i \in \Xi_{c_i, c_{i+1}}^i$  consisting of regular elements of  $\mathfrak{gl}(i+1)$ . For  $i = n-1$ , we choose any orbit  $\mathcal{O}_{a_{n-1}}^{n-1}$  of  $Z_{n-1}$  in  $\Xi_{c_{n-1}, c_n}^{n-1}$ . Then we define a morphism

$$\Gamma_n^{a_1, a_2, \dots, a_{n-1}} : \mathcal{O}_{a_1}^1 \times \dots \times \mathcal{O}_{a_{n-1}}^{n-1} \rightarrow \mathfrak{gl}(n)_c \cap S.$$

by

$$(4.2) \quad \Gamma_n^{a_1, a_2, \dots, a_{n-1}}(x_1, \dots, x_{n-1}) = \text{Ad}(g_{1,2}(x_1)^{-1} g_{2,3}(x_2)^{-1} \dots g_{n-2,n-1}(x_{n-2})^{-1}) x_{n-1}.$$

where  $g_{i,i+1}(x_i)$  conjugates  $x_i$  into Jordan canonical form (with eigenvalues in descreasing lexicographical order). We denote the image of the morphism  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  by  $\text{Im} \Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ .

**Theorem 4.2.** *Every  $A$ -orbit in  $\mathfrak{gl}(n)_c \cap S$  is of the form  $\text{Im} \Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  for some choice of orbits  $\mathcal{O}_{a_i}^i \subset \Xi_{c_i, c_{i+1}}^i$  with  $\mathcal{O}_{a_i}^i$  consisting of regular elements of  $\mathfrak{gl}(i+1)$  for  $1 \leq i \leq n-2$ .*

In section 4.3, we prove Theorem 4.2 for  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$  (see Theorem 4.9). In section 4.4, we establish the results needed to prove Theorem 4.2 for  $\mathfrak{gl}(n)_c \cap S$ .

**4.2. Definition and properties of the  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  maps.** We first define the map  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  only for  $Z_i$ -orbits  $\mathcal{O}_{a_i}^i \subset \Xi_{c_i, c_{i+1}}^i$  on which  $Z_i$  acts freely. To define the map  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ , we must define a morphism  $\mathcal{O}_{a_i}^i \rightarrow GL(i+1)$  which sends  $y \rightarrow g_{i,i+1}(y)$ , where  $g_{i,i+1}(y)$  conjugates  $y$  into Jordan form with eigenvalues in decreasing lexicographical order. Since  $Z_i$  acts freely on  $\mathcal{O}_{a_i}^i$ , we can identify  $\mathcal{O}_{a_i}^i \simeq Z_i$  as algebraic varieties. Let  $x_{a_i}$  be an arbitrary choice of base point for the orbit  $\mathcal{O}_{a_i}^i$ , i.e.  $\mathcal{O}_{a_i}^i = \text{Ad}(Z_i) \cdot x_{a_i}$ . We choose an element  $g_{i,i+1}(x_{a_i}) \in GL(i+1)$  that conjugates the base point  $x_{a_i}$  into Jordan form (with eigenvalues in decreasing lexicographical order). For  $y = \text{Ad}(k_i) \cdot x_{a_i}$ , with  $k_i \in Z_i$ , we define

$$(4.3) \quad g_{i,i+1}(y) = g_{i,i+1}(x_{a_i}) k_i^{-1}.$$

For each choice of orbit  $\mathcal{O}_{a_i}^i \subset \Xi_{c_i, c_{i+1}}^i$  for  $1 \leq i \leq n-1$ , we define a morphism  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}} : Z_1 \times \dots \times Z_{n-1} \rightarrow \mathfrak{gl}(n)$ ,

$$(4.4) \quad \Gamma_n^{a_1, \dots, a_{n-1}}(k_1, \dots, k_{n-1}) = \text{Ad}(k_1 g_{1,2}(x_{a_1})^{-1} k_2 g_{2,3}(x_{a_2})^{-1} \dots k_{n-2} g_{n-2,n-1}(x_{a_{n-2}})^{-1} k_{n-1}) x_{a_{n-1}}.$$

We want to give a more intrinsic characterization of the image of the morphism  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ .

**Proposition 4.3.** *The set  $\text{Im} \Gamma_n^{a_1, a_2, \dots, a_{n-1}} \subset \mathfrak{gl}(n)_c \cap S$  and is equal to*

$$(4.5) \quad \text{Im} \Gamma_n^{a_1, a_2, \dots, a_{n-1}} = \{x \in \mathfrak{gl}(n) \mid x_{i+1} \in \text{Ad}(GL(i)) \cdot x_{a_i}, \text{ for all } 1 \leq i \leq n-1\}.$$

*Thus,  $\text{Im} \Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  is a quasi-affine subvariety of  $\mathfrak{gl}(n)$ .*

The following simple observation is useful in proving Proposition 4.3.

**Remark 4.4.** Let  $x \in \mathfrak{gl}(n)_c \cap S$ , and suppose that  $g \in GL(i)$  is such that  $\text{Ad}(g) \cdot x = \text{Ad}(g) \cdot x_i$  is in Jordan canonical form with eigenvalues in decreasing lexicographical order for  $1 \leq i \leq n-1$ . Then  $[\text{Ad}(g) \cdot x]_{i+1} = \text{Ad}(g) \cdot x_{i+1} \in \Xi_{c_i, c_{i+1}}^i$ .

*Proof of Proposition 4.3.* For ease of notation, let us denote the set on the RHS of (4.5) by  $T$ . We note  $T \subset \mathfrak{gl}(n)_c \cap S$ . Indeed, let  $Y \in T$ . Then  $Y_{i+1} \in \text{Ad}(GL(i)) \cdot x_{a_i}$  for  $1 \leq i \leq n-1$ . Since  $x_{a_i} \in \Xi_{c_i, c_{i+1}}^i$ ,  $Y_{i+1}$  has characteristic polynomial  $p_{c_{i+1}}(t)$ . Also note that for  $1 \leq i \leq n-2$ ,  $x_{a_i}$  is regular, and hence  $Y_{i+1}$  is regular for  $1 \leq i \leq n-2$ . Lastly, using the fact that  $k_1 \in GL(1) = Z_1$  centralizes the  $(1, 1)$  entry of  $x_{a_1} \in \Xi_{c_1, c_2}^1$ , it follows that the  $(1, 1)$  entry of  $Y$  is given by  $c_1 \in \mathbb{C}$ .

The inclusion  $\text{Im} \Gamma_n^{a_1, a_2, \dots, a_{n-1}} \subset T$  is clear from the definition of  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  in (4.4). To see the opposite inclusion we use induction. Let  $y \in T$ . Then  $y_2 \in \text{Ad}(GL(1)) \cdot x_{a_1} = \mathcal{O}_{a_1}^1$ , since  $Z_1 = GL(1)$ . Thus, there exists a  $k_1 \in Z_1$  such that  $y_2 = \text{Ad}(k_1) \cdot x_{a_1}$ . It follows that

$$z_2 = [\text{Ad}(g_{1,2}(x_{a_1}))\text{Ad}(k_1^{-1}) \cdot y]_3 = [\text{Ad}(g_{1,2}(x_{a_1}))\text{Ad}(k_1^{-1}) \cdot y_3] \in \Xi_{c_2, c_3}^2.$$

But  $y_3 \in \text{Ad}(GL(2)) \cdot x_{a_2}$ , so that  $z_2 \in \Xi_{c_2, c_3}^2 \cap \text{Ad}(GL(2)) \cdot x_{a_2}$ . From which it follows easily that  $z_2 \in \mathcal{O}_{a_2}^2$ . Thus, there exists a  $k_2 \in Z_2$  such that

$$[\text{Ad}(g_{2,3}(x_{a_2}))\text{Ad}(k_2^{-1})\text{Ad}(g_{1,2}(x_{a_1}))\text{Ad}(k_1^{-1}) \cdot y]_4 \in \Xi_{c_3, c_4}^3.$$

This completes the first two steps of the induction. We now assume that there exist  $k_1, \dots, k_{j-1} \in Z_1, \dots, Z_{j-1}$ , respectively such that

$$(4.6) \quad z_j = [\text{Ad}(g_{j-1,j}(x_{a_{j-1}}))\text{Ad}(k_{j-1}^{-1}) \cdots \text{Ad}(g_{1,2}(x_{a_1}))\text{Ad}(k_1^{-1}) \cdot y]_{j+1} \in \Xi_{c_j, c_{j+1}}^j.$$

Since  $y_{j+1} \in \text{Ad}(GL(j)) \cdot x_{a_j}$ , it follows that  $z_j \in \Xi_{c_j, c_{j+1}}^j \cap \text{Ad}(GL(j)) \cdot x_{a_j}$ . As above, it follows that  $z_j \in \mathcal{O}_{a_j}^j$ , so that there exists an element  $k_j \in K_j$  such that

$$[\text{Ad}(g_{j,j+1}(x_{a_j}))\text{Ad}(k_j^{-1})\text{Ad}(g_{j-1,j}(x_{a_{j-1}}))\text{Ad}(k_{j-1}^{-1}) \cdots \text{Ad}(g_{1,2}(x_{a_1}))\text{Ad}(k_1^{-1}) \cdot y]_{j+2} \in \Xi_{c_{j+1}, c_{j+2}}^{j+1}.$$

We have made use of Remark 4.4 throughout. By induction, we conclude that there exist  $k_1, \dots, k_{n-1} \in Z_1, \dots, Z_{n-1}$  respectively so that

$$x_{a_{n-1}} = \text{Ad}(k_{n-1}^{-1})\text{Ad}(g_{n-2,n-1}(x_{a_{n-1}}))\text{Ad}(k_{n-2}^{-1}) \cdots \text{Ad}(g_{1,2}(x_{a_1}))\text{Ad}(k_1^{-1}) \cdot y.$$

From which it follows that  $y = \Gamma_n^{a_1, \dots, a_{n-1}}(k_1, \dots, k_{n-1})$ .

To see the final statement of the proposition, we observe  $T$  is a Zariski locally closed subset of  $\mathfrak{gl}(n)$ . Indeed, the set  $U_i = \{x | x_{i+1} \in \text{Ad}(GL(i)) \cdot x_{a_i}\}$  is locally closed, since it is the preimage of the orbit  $\text{Ad}(GL(i)) \cdot x_{a_i} \subset \mathfrak{gl}(i+1)$  under the projection morphism  $\pi_{i+1}(x) = x_{i+1}$ . The set  $T = U_1 \cap \dots \cap U_{n-1}$  is locally closed.

**Q.E.D.**

**Remark 4.5.** From Proposition 4.3 it follows that the set  $\text{Im} \Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  depends only on the orbits  $\mathcal{O}_{a_i}^i$  for  $1 \leq i \leq n-1$ , and is thus independent of the choices involved in defining the map  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  in (4.4).

4.3.  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  and  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$ . In this section, we show that the image of the morphism  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  is an  $A$ -orbit in  $\mathfrak{gl}(n)_c^{sreg}$ . The first step is to see  $Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  is smooth variety.

**Theorem 4.6.** *The morphism*

$$\Gamma_n^{a_1, a_2, \dots, a_{n-1}} : Z_1 \times \dots \times Z_{n-1} \rightarrow \mathfrak{gl}(n)_c \cap S$$

*is an isomorphism onto its image. Hence,  $Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  is a smooth, irreducible subvariety of  $\mathfrak{gl}(n)$  of dimension  $\binom{n}{2}$ .*

*Proof.* We explicitly construct an inverse  $\Psi$  to  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  and show that  $\Psi : Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}} \rightarrow Z_1 \times \dots \times Z_{n-1}$  is a morphism. Specifically, we show that there exist morphisms  $\psi_i : Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}} \rightarrow Z_i$  for  $1 \leq i \leq n-1$  so that the morphism

$$(4.7) \quad \Psi = (\psi_1, \dots, \psi_{n-1}) : Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}} \rightarrow Z_1 \times \dots \times Z_{n-1}$$

is an inverse to  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ . The morphisms  $\psi_i$  are constructed inductively.

Given  $y \in Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ ,  $y_2 \in \mathcal{O}_{a_1}^1 \subset \Xi_{c_1, c_2}^1$  by Proposition 4.3. Thus,  $y_2 = \text{Ad}(k_1) \cdot x_{a_1}$  for a unique  $k_1$  in  $Z_1$ . The map  $\mathcal{O}_{a_1}^1 \rightarrow Z_1$  given by  $\text{Ad}(k_1) \cdot x_{a_1} \rightarrow k_1$  is an isomorphism of smooth affine varieties. Hence, the map  $\psi_1(y) = k_1$  is a morphism.

Arguing as in the proof of Proposition 4.3, suppose that we have defined morphisms  $\psi_1, \dots, \psi_{j-1}$ , with  $\psi_i : Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}} \rightarrow Z_i$  for  $1 \leq i \leq j-1$ . Then the function  $Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}} \rightarrow \mathcal{O}_{a_j}^j$  given by equation (4.6),

$$y \rightarrow [\text{Ad}(g_{j-1, j}(x_{a_{j-1}}))\text{Ad}(\psi_{j-1}(y)^{-1}) \cdots \text{Ad}(g_{1, 2}(x_{a_1}))\text{Ad}(\psi_1(y)^{-1}) \cdot y]_{j+1}$$

is a morphism. We can then define a morphism  $\psi_j : Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}} \rightarrow Z_j$  given by  $\psi_j(y) = k_j$ , where  $k_j$  is the unique element of  $Z_j$  such that

$$(4.8) \quad \text{Ad}(k_j) \cdot x_{a_j} = [\text{Ad}(g_{j-1, j}(x_{a_{j-1}}))\text{Ad}(\psi_{j-1}(y)^{-1}) \cdots \text{Ad}(g_{1, 2}(x_{a_1}))\text{Ad}(\psi_1(y)^{-1}) \cdot y]_{j+1}.$$

This completes the induction.

Now, we need to see that the map  $\Psi$  is an inverse to  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ . The fact that  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}(\psi_1(y), \dots, \psi_{n-1}(y)) = y$  follows exactly as in the proof of the inclusion  $T \subset Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  in Proposition 4.3.

Finally, we show that  $\Psi(\Gamma_n^{a_1, a_2, \dots, a_{n-1}}(k_1, \dots, k_{n-1})) = (k_1, \dots, k_{n-1})$ . We make the following observation. Consider the element

$$\text{Ad}(k_j g_{j, j+1}(x_{a_j})^{-1} \cdots g_{n-2, n-1}(x_{a_{n-2}})^{-1} k_{n-1}) \cdot x_{a_{n-1}}.$$

The  $(j+1) \times (j+1)$  cutoff of this element is equal to  $k_j \cdot x_{a_j}$ . Using this fact with  $j = 1$ , we have  $\psi_1(y) = k_1$ . Assume that we have  $\psi_2(y) = k_1, \dots, \psi_l(y) = k_l$  for  $2 \leq l \leq j-1$ . Using the definition of  $\psi_j$  in (4.8), we obtain

$$\text{Ad}(\psi_j(y)) \cdot x_{a_j} = [\text{Ad}(k_j)\text{Ad}(g_{j, j+1}(x_{a_j})^{-1} \cdots g_{n-2, n-1}(x_{a_{n-2}})^{-1} k_{n-1})x_{a_{n-1}}]_{j+1} = \text{Ad}(k_j) \cdot x_{a_j}.$$

Thus, by induction  $\Psi \circ \Gamma_n^{a_1, a_2, \dots, a_{n-1}} = id$ . Hence,  $\Psi$  is a regular inverse to the map  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  and  $\Psi$  is an isomorphism of varieties.

Q.E.D.

$Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  is a smooth, irreducible quasi-affine subvariety of  $\mathfrak{gl}(n)$ . Thus,  $Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  has the structure of a connected analytic submanifold of  $\mathfrak{gl}(n)$ , and  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  is an analytic isomorphism. We now show that the action of the analytic group  $A$  preserves the submanifold  $Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ .

**Proposition 4.7.** *The action of  $A$  on  $\mathfrak{gl}(n)$  preserves the submanifolds  $Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ .*

*Proof.* We recall that the action of  $A$  on  $\mathfrak{gl}(n)$  is given by the composition of the flows in (2.6) in any order. (See Remark 2.3.) Thus, to see that the action of  $A$  preserves  $Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  it suffices to see that the action of  $\mathbb{C}$  in (2.6) preserves  $Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  for any  $1 \leq i \leq n-1$  and any  $1 \leq j \leq i$ . This can be seen easily using Proposition 4.3. Indeed, suppose that  $x \in Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ . Then by Proposition 4.3,  $x_{k+1} \in Ad(GL(k)) \cdot x_{a_k}$  for any  $1 \leq k \leq n-1$ . Now we consider  $Ad(\exp(tjx_i^{j-1})) \cdot x$  as in (2.6) with  $t \in \mathbb{C}$  fixed. For ease of notation let  $h = \exp(tjx_i^{j-1}) \in GL(i)$ . We claim  $(Ad(h) \cdot x)_{k+1} \in Ad(GL(k)) \cdot x_{a_k}$  for  $1 \leq k \leq n-1$ . We consider two cases. Suppose  $k \geq i$  and consider  $(Ad(h) \cdot x)_{k+1}$ . We have  $(Ad(h) \cdot x)_{k+1} = Ad(h) \cdot x_{k+1}$ . But  $x_{k+1} \in Ad(GL(k)) \cdot x_{a_k}$ , so that  $Ad(h) \cdot x_{k+1} \in Ad(GL(k)) \cdot x_{a_k}$ , as  $GL(i) \subset GL(k)$ . Next, we suppose that  $k < i$ , so that  $k+1 \leq i$ . Since  $h \in GL(i)$  centralizes  $x_i$ ,

$$(Ad(h)x)_{k+1} = (Ad(h)(x_i))_{k+1} = (x_i)_{k+1} = x_{k+1} \in Ad(GL(k)) \cdot x_{a_k}.$$

By Proposition 4.3  $Ad(h) \cdot x \in Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ . This completes the proof.

Q.E.D.

Before stating the main theorem of this section, we need to state a technical result about the action of  $Z_i$  on the solution varieties  $\Xi_{c_i, c_{i+1}}^i$ . This result will be proven independently of the following theorem in section 4.4.

**Lemma 4.8.** *For  $x \in \Xi_{c_i, c_{i+1}}^i$ , the isotropy group of  $x$  under the action of  $Z_i$ ,  $Stab(x)$ , is a connected algebraic group.*

Thus, given an orbit of  $Z_i$ ,  $\mathcal{O} \subset \Xi_{c_i, c_{i+1}}^i$

$$(4.9) \quad \dim(\mathcal{O}) = i \text{ if and only if } Z_i \text{ acts freely on } \mathcal{O}.$$

We are now ready to prove the main theorem of this section.

**Theorem 4.9.** *The submanifold  $Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}} \subset \mathfrak{gl}(n)_c \cap S$  is a single  $A$ -orbit in  $\mathfrak{gl}(n)_c^{sreg}$ . Moreover every  $A$ -orbit in  $\mathfrak{gl}(n)_c^{sreg}$  is of the form  $Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  for some choice of free  $Z_i$ -orbits  $\mathcal{O}_{a_i}^i \subset \Xi_{c_i, c_{i+1}}^i$  with  $\mathcal{O}_{a_i}^i \subset \mathfrak{gl}(i+1)^{reg}$ , for  $1 \leq i \leq n-1$ .*

*Proof.* First, we show that  $Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  is an  $A$ -orbit. For this, we need to describe the tangent space  $T_y(Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}) = (d\Gamma_n^{a_1, a_2, \dots, a_{n-1}})_{\underline{k}}$ , where  $\underline{k} = (k_1, \dots, k_{n-1}) \in$

$Z_1 \times \cdots \times Z_{n-1}$  and  $y = \Gamma_n^{a_1, a_2, \dots, a_{n-1}}(\underline{k})$ . Let  $\{\alpha_{i1}, \dots, \alpha_{ii}\}$  be a basis for  $Lie(Z_i) = \mathfrak{z}_i$ . Working analytically, we compute

$$(4.10) \quad (d\Gamma_n^{a_1, a_2, \dots, a_{n-1}})_{\underline{k}}(0, \dots, \alpha_{ij}, \dots, 0) = \frac{d}{dt}\bigg|_{t=0} \Gamma_n^{a_1, a_2, \dots, a_{n-1}}(k_1, \dots, k_i \exp(t\alpha_{ij}), \dots, k_{n-1}),$$

for  $1 \leq j \leq i$ . Using the definition of the morphism  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  the RHS of (4.10) becomes

$$(4.11) \quad \frac{d}{dt}\bigg|_{t=0} \text{Ad}(k_1 g_{1,2}(x_{a_1})^{-1} \cdots k_i \exp(t\alpha_{ij}) g_{i,i+1}(x_{a_i})^{-1} \cdots k_{n-2} g_{n-2,n-1}(x_{a_{n-2}})^{-1} k_{n-1}) x_{a_{n-1}}.$$

Let

$$(4.12) \quad l_i = k_1 g_{1,2}(x_{a_1})^{-1} \cdots k_i \text{ and let } h_i = g_{i,i+1}(x_{a_i})^{-1} \cdots k_{n-2} g_{n-2,n-1}(x_{a_{n-2}})^{-1} k_{n-1}.$$

Then we can write (4.11) as

$$\frac{d}{dt}\bigg|_{t=0} \text{Ad}(l_i \exp(t\alpha_{ij}) h_i) \cdot x_{a_{n-1}},$$

which has differential

$$(4.13) \quad \text{ad}(\text{Ad}(l_i) \cdot \alpha_{ij}) \cdot (\text{Ad}(l_i h_i) \cdot x_{a_{n-1}}).$$

By definition of the element  $l_i \in GL(i)$ , the  $i \times i$  cutoff of  $\text{Ad}(l_i^{-1}) \cdot y = \text{Ad}(l_i^{-1}) \cdot y_i$  is in Jordan form (with eigenvalues in decreasing lexicographical order). Hence elements of the form  $\text{Ad}(l_i) \cdot \alpha_{ij} = \gamma_{ij}$  for  $1 \leq j \leq i$  form a basis for  $\mathfrak{z}_{\mathfrak{gl}(i)}(y_i)$ . Since  $\text{Ad}(l_i h_i) \cdot x_{a_{n-1}} = y$ , (4.13) implies the image of  $d(\Gamma_n^{a_1, a_2, \dots, a_{n-1}})_{\underline{k}}$  is

$$(4.14) \quad \text{Im}(d\Gamma_n^{a_1, a_2, \dots, a_{n-1}})_{\underline{k}} = \text{span}\{\partial_y^{[\gamma_{i,j}, y]}, 1 \leq i \leq n-1, 1 \leq j \leq i\} = T_y(\text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}).$$

We recall equation (2.7),

$$T_y(A \cdot y) = \text{span}\{\partial_y^{[z, y]} | z \in Z_y\} := V_y.$$

Now,  $y \in \text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  has the property that  $y_i$  is regular for all  $i \leq n-1$ , so that  $\mathfrak{z}_{\mathfrak{gl}(i)}(y_i)$  has basis  $\{Id_i, y_i, \dots, y_i^{i-1}\}$  (see [8], pg 382). Thus,

$$(4.15) \quad T_y(\text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}) = \text{span}\{\partial_y^{[z, y]} | z \in Z_y\} = V_y.$$

Equation (4.15) gives

$$(4.16) \quad \dim V_y = \dim(A \cdot y) = \binom{n}{2},$$

which implies  $\text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}} \subset \mathfrak{gl}(n)_c^{sreg}$ . By Proposition 4.7,  $A$  acts on  $\text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ . We claim that the action of  $A$  is transitive on  $\text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ . Indeed, given an  $A$ -orbit  $A \cdot y$  with  $y \in \text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ ,  $A \cdot y \subset \text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  is a submanifold of the same dimension as  $\text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  by (4.16), and thus must be open. The action of  $A$  is then clearly transitive on  $\text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ , as  $\text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  is connected.

We now show that every  $A$ -orbit in  $\mathfrak{gl}(n)_c^{sreg}$  is obtained in this manner. For  $x \in \mathfrak{gl}(n)_c^{sreg}$ , by part (a) of Proposition 2.7 and Remark 4.4 there exists a matrix  $g_i \in GL(i)$  such that  $z_i = \text{Ad}(g_i) \cdot x_{i+1} \in \Xi_{c_i, c_{i+1}}^i$  and  $z_i$  is regular for each  $1 \leq i \leq n-1$ . Thus  $z_i \in \mathcal{O}_{a_i}^i$ , with  $\mathcal{O}_{a_i}^i$  an orbit of  $Z_i$  in  $\Xi_{c_i, c_{i+1}}^i$  consisting of regular elements of  $\mathfrak{gl}(i+1)$ . We claim that  $Z_i$  must act freely on  $\mathcal{O}_{a_i}^i$ . We suppose to the contrary that  $\text{Stab}(x_{a_i})$  is non-trivial. Lemma 4.8 gives that  $\dim(\text{Stab}(x_{a_i})) \geq 1$ . But, this implies  $\dim(Z_{GL(i)}(x_i) \cap Z_{GL(i+1)}(x_{i+1})) \geq 1$ , contradicting part (b) of Proposition 2.7. By Proposition 4.3  $x \in \text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  for some choice of free  $Z_i$ -orbits  $\mathcal{O}_{a_i}^i \subset \Xi_{c_i, c_{i+1}}^i$ . This completes the proof of the theorem.

### Q.E.D.

**Remark 4.10.** Let  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  be defined using  $Z_i$ -orbits,  $\mathcal{O}_{a_i}^i$  and let  $\Gamma_{n-1}^{\widetilde{a}_1, \widetilde{a}_2, \dots, \widetilde{a}_{n-1}}$  be defined using  $Z_i$ -orbits  $\mathcal{O}_{\widetilde{a}_i}^i = \text{Ad}(Z_i) \cdot x_{\widetilde{a}_i}$ , where for some  $i$ ,  $1 \leq i \leq n-1$ ,  $\mathcal{O}_{a_i}^i \cap \mathcal{O}_{\widetilde{a}_i}^i = \emptyset$ . Then it follows from Proposition 4.3 that the  $A$ -orbits  $\text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  and  $\text{Im}\Gamma_{n-1}^{\widetilde{a}_1, \widetilde{a}_2, \dots, \widetilde{a}_{n-1}}$  are distinct. Indeed, suppose to the contrary that  $y \in \text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}} \cap \text{Im}\Gamma_{n-1}^{\widetilde{a}_1, \widetilde{a}_2, \dots, \widetilde{a}_{n-1}}$ . By Proposition 4.3, we have  $y_{i+1} \in \text{Ad}(GL(i)) \cdot x_{a_i} \cap \text{Ad}(GL(i)) \cdot x_{\widetilde{a}_i}$ . This implies that there exists  $h \in GL(i)$  such that  $\text{Ad}(h) \cdot x_{a_i} = x_{\widetilde{a}_i}$ . Since  $x_{a_i}, x_{\widetilde{a}_i} \in \Xi_{c_i, c_{i+1}}^i$ , the previous equation forces  $h \in Z_i$ , which implies  $\mathcal{O}_{a_i}^i = \mathcal{O}_{\widetilde{a}_i}^i$ , a contradiction. We have thus established a bijection between free  $Z_1 \times \dots \times Z_{n-1}$  orbits on the product of solution varieties  $\Xi_{c_1, c_2}^1 \times \dots \times \Xi_{c_{n-1}, c_n}^{n-1}$  and  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$ .

On the subvariety  $\text{Im}\Gamma_n^{a_1, \dots, a_{n-1}}$ , we have a free and transitive algebraic action of the algebraic group  $Z = Z_1 \times \dots \times Z_{n-1}$ . This action is defined by the following formula.

(4.17)

If  $(\Gamma_n^{a_1, a_2, \dots, a_{n-1}})^{-1}(y) = (k_1, \dots, k_{n-1})$ , then  $(k'_1, \dots, k'_{n-1}) \cdot y = \Gamma_n^{a_1, a_2, \dots, a_{n-1}}(k'_1 k_1, \dots, k'_{n-1} k_{n-1})$ .

**Remark 4.11.** The action in (4.17) is the generalization of the action of  $(\mathbb{C}^\times)^3$  in (3.3) to the non-generic case.

Thus, the  $A$ -orbit  $\text{Im}\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  is the orbit of an algebraic group acting on a quasi-affine variety. We now show that  $Z = Z_1 \times \dots \times Z_{n-1}$  acts algebraically on the fibre  $\mathfrak{gl}(n)_c^{sreg}$ . By Theorem 3.12 in [9] the  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$  are the irreducible components of  $\mathfrak{gl}(n)_c^{sreg}$ . Since they are disjoint, these components are both open and closed in  $\mathfrak{gl}(n)_c^{sreg}$  (in the Zariski topology on  $\mathfrak{gl}(n)_c^{sreg}$ ). Following [9], we index these components by  $\mathfrak{gl}_{c,i}^{sreg}(n) = A \cdot x(i)$ , with  $x(i) \in \mathfrak{gl}(n)_c^{sreg}$ . Now, we have morphisms  $\phi_i : Z \times \mathfrak{gl}_{c,i}^{sreg}(n) \rightarrow \mathfrak{gl}_c^{sreg}(n)$  given by the action of  $Z$  on  $\text{Im}\Gamma_n^{a_1, \dots, a_{n-1}}$ . The sets  $Z \times \mathfrak{gl}_{c,i}^{sreg}(n)$  are (Zariski) open in the product  $Z \times \mathfrak{gl}(n)_c^{sreg}$  and are disjoint. Thus, the morphisms  $\phi_i$  glue to a unique morphism

$$\Phi : Z \times \mathfrak{gl}(n)_c^{sreg} \rightarrow \mathfrak{gl}(n)_c^{sreg} \text{ such that } \Phi|_{Z \times \mathfrak{gl}_{c,i}^{sreg}(n)} = \phi_i.$$

The morphism  $\Phi$  defines an algebraic action of the group  $Z$  on  $\mathfrak{gl}(n)_c^{sreg}$  whose orbits are the orbits of  $A$  in  $\mathfrak{gl}(n)_c^{sreg}$ . We have thus proven the following theorem.



**Theorem 4.12.** *Let  $x \in \mathfrak{gl}(n)_c^{sreg}$  be arbitrary and let  $Z_i$  be the centralizer in  $GL(i)$  of the Jordan form of  $x_i$  (with eigenvalues in decreasing lexicographical order). On  $\mathfrak{gl}(n)_c^{sreg}$  the orbits of the group  $A$  are orbits of a free algebraic action of the connected abelian algebraic group  $Z = Z_1 \times \cdots \times Z_{n-1}$ .*

We end this section with a result that will be of great use in section 5 where we count the number of  $A$ -orbits in the fibre  $\mathfrak{gl}(n)_c^{sreg}$ .

It turns out that the condition in Theorem 4.9 that  $\mathcal{O}_{a_i}^i \subset \mathfrak{gl}(i+1)^{reg}$  is superfluous.

**Theorem 4.13.** *If  $\mathcal{O}_{a_i}^i \subset \Xi_{c_i, c_{i+1}}^i$  is a free  $Z_i$ -orbit, then  $\mathcal{O}_{a_i}^i \subset \mathfrak{gl}(i+1)^{reg}$ .*

*Proof.* Let  $c = (c_1, c_2, \dots, c_j, c_{j+1}, \dots, c_n) \in \mathbb{C}^{\frac{n(n+1)}{2}}$ , with  $c_j \in \mathbb{C}^j$  be given. By Theorem 2.5, there is a unique upper Hessenberg matrix  $h \in \mathfrak{gl}(n)_c^{sreg}$ . This implies that for any  $j$ ,  $1 \leq j \leq n-1$ , there exists a  $g_j \in GL(j)$  such that  $(\text{Ad}(g_j) \cdot h)_{j+1} \in \Xi_{c_j, c_{j+1}}^j$  by Remark 4.4. Thus,  $\text{Ad}(g_j) \cdot h_{j+1} \in Z_j \cdot x_{a_j} = \mathcal{O}_{a_j}^j$  for some  $x_{a_j} \in \Xi_{c_j, c_{j+1}}^j$ . But  $h \in \mathfrak{gl}(n)_c^{sreg}$  and therefore  $h_{j+1}$  is regular by part (a) of Proposition 2.7, which implies that  $\mathcal{O}_{a_j}^j \subset \mathfrak{gl}(j+1)^{reg}$ . Also, by part (b) of Proposition 2.7,  $Z_j$  acts freely on  $\mathcal{O}_{a_j}^j$ , as in the proof of the last statement of Theorem 4.9. Thus, for any  $j$ ,  $1 \leq j \leq n-1$ , there exists a free  $Z_j$ -orbit in  $\Xi_{c_j, c_{j+1}}^j$  consisting of regular elements of  $\mathfrak{gl}(j+1)$ .

Now, let  $\mathcal{O}_{a_i}^i \subset \Xi_{c_i, c_{i+1}}^i$  be any free  $Z_i$ -orbit. Now, we use the free  $Z_j$ -orbit  $\mathcal{O}_{a_j}^j \subset \mathfrak{gl}(j+1)^{reg}$  as above for  $1 \leq j \leq i-1$  and  $\mathcal{O}_{a_i}^i$  to construct a morphism  $\Gamma_i^{a_1, a_2, \dots, a_i} : Z_1 \times \cdots \times Z_i \rightarrow \mathfrak{gl}(n)_c \cap S$ . By Theorem 4.9,  $\text{Im} \Gamma_i^{a_1, a_2, \dots, a_i} \subset \mathfrak{gl}(i+1)^{sreg}$ . Proposition 2.7 (a) then implies  $\text{Im} \Gamma_i^{a_1, a_2, \dots, a_i} \subset \mathfrak{gl}(i+1)^{reg}$ . Since elements of  $\mathcal{O}_{a_i}^i$  are conjugate to elements of  $\text{Im} \Gamma_i^{a_1, a_2, \dots, a_i}$ ,  $\mathcal{O}_{a_i}^i \subset \mathfrak{gl}(i+1)^{reg}$ . This completes the proof.

**Q.E.D.**

**4.4.  $A$ -orbits in  $\mathfrak{gl}(n)_c \cap S$ .** We now discuss how the construction in sections 4.2 and 4.3 can be generalized to describe  $A$ -orbits of dimension strictly less than  $\binom{n}{2}$  in the Zariski open subset of the fibre  $\mathfrak{gl}(n)_c \cap S$ . In this case, it is more difficult to define the morphism  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  that appears in equation (4.2). The problem is that it is not clear how to define a morphism  $\mathcal{O}_{a_i}^i \rightarrow GL(i+1)$  which sends  $x \rightarrow g_{i,i+1}(x)$  where  $\text{Ad}(g_{i,i+1}(x)) \cdot x$  is in Jordan form (with eigenvalues in decreasing lexicographical order). This is not difficult in the strongly regular case, as we are dealing with free  $Z_i$ -orbits  $\mathcal{O}_{a_i}^i \simeq Z_i$  so that  $g_{i,i+1}(x)$  can be defined as in equation (4.3). The fortunate fact is that even for an orbit  $\mathcal{O}_{a_i}^i \subset \Xi_{c_i, c_{i+1}}^i$  of dimension strictly less than  $i$ , there exists a connected, Zariski closed subgroup  $K_i \subset Z_i$  with  $K_i$  acting freely on  $\mathcal{O}_{a_i}^i \simeq K_i$ . Therefore, we can mimic what we did in equation (4.3).

To prove this, we need to understand better the action of  $Z_i$  on  $\Xi_{c_i, c_{i+1}}^i$ . As in section 4.1, let  $J = J_{\lambda_1} \oplus \cdots \oplus J_{\lambda_r}$  be the  $i \times i$  cutoff of the matrix in (4.1), where  $J_{\lambda_j} \in \mathfrak{gl}(n_j)$  is the Jordan block corresponding to eigenvalue  $\lambda_j$ . We note since  $J$  is regular,  $Z_i$  is an

abelian connected algebraic group, which is the product of groups  $\prod_{j=1}^r Z_{J_{\lambda_j}}$ , where  $Z_{J_{\lambda_j}}$  denotes the centralizer of  $J_{\lambda_j}$ . It is then easy to see that the action of  $Z_i$  is the diagonal action of the product  $\prod_{j=1}^r Z_{J_{\lambda_j}}$  on the last column of  $x \in \Xi_{c_i, c_{i+1}}^i$  and the dual action on the last row of  $x$  (see (4.1)). In other words,  $Z_{J_{\lambda_j}}$  acts only on the columns and rows of  $x$  that contain the Jordan block  $J_{\lambda_j}$  (see (4.1)). This leads us to define an action of  $Z_{J_{\lambda_j}}$  on  $\mathbb{C}^{2n_j}$

$$(4.18) \quad z \cdot ([t_1, \dots, t_{n_j}], [s_1, \dots, s_{n_j}]^T) = ([t_1, \dots, t_{n_j}] \cdot z^{-1}, z \cdot [s_1, \dots, s_{n_j}]^T).$$

For  $x \in \Xi_{c_i, c_{i+1}}^i$ , let  $\mathcal{O}$  be its  $Z_i$ -orbit, and let  $\mathcal{O}_j \subset \mathbb{C}^{2n_j}$  be the  $Z_{J_{\lambda_j}}$ -orbit of  $x[j] = ([z_{j,1}, \dots, z_{j,n_j}], [y_{j,1}, \dots, y_{j,n_j}])$  (where the coordinates for  $x$  are as in (4.1)). It follows directly from our above remarks that

$$(4.19) \quad \mathcal{O} \simeq \mathcal{O}_1 \times \dots \times \mathcal{O}_r,$$

where the isomorphism is  $Z_i$ -equivariant. Using this description of a  $Z_i$ -orbit  $\mathcal{O} \subset \Xi_{c_i, c_{i+1}}^i$ , it is easy to describe the structure of the isotropy groups for the  $Z_i$ -action.

**Lemma 4.14.** *Let  $x \in \Xi_{c_i, c_{i+1}}^i$  and let  $\text{Stab}(x) \subset Z_i$  be the isotropy group of  $x$  under the action of  $Z_i$  on  $\Xi_{c_i, c_{i+1}}^i$ . Then, up to reordering,*

$$(4.20) \quad \text{Stab}(x) = \prod_{j=1}^q Z_{J_{\lambda_j}} \times \prod_{j=q+1}^r U_j,$$

where  $U_j \subset Z_{J_{\lambda_j}}$  is a unipotent Zariski closed subgroup (possibly trivial) for some  $q$ ,  $0 \leq q \leq r$ .

*Proof.* Suppose that  $x \in \Xi_{c_i, c_{i+1}}^i$  is given by (4.1). For ease of notation, we let  $Z_{J_{\lambda_k}} = Z_{J_k}$ . By equation (4.19), to compute the stabilizer of  $x$  we need only compute the stabilizers for each of the  $Z_{J_k}$  orbits  $\mathcal{O}_k = Z_{J_k} \cdot x[k]$ , where  $1 \leq k \leq r$ . To compute the stabilizer of  $x[k]$ , suppose that  $y_{k,i} \neq 0$ , but for  $i < l \leq n_k$ ,  $y_{k,l} = 0$ . We consider the matrix equation:

$$(4.21) \quad A_k \cdot \underline{y}_k = \underline{y}_k,$$

where  $A_k \in Z_{J_k}$  is an invertible upper triangular Toeplitz matrix and  $\underline{y}_k \in \mathbb{C}^{n_k}$  is the column vector  $\underline{y}_k = (y_{k,1}, \dots, y_{k,i}, 0, \dots, 0)^T$ . As  $A_k$  is an upper triangular Toeplitz matrix, we see by considering the  $i$ th row in equation (4.21) that  $A_k$  is forced to be unipotent. If on the other hand, all  $y_{k,j} = 0$  for  $1 \leq j \leq n_k$ , we can argue similarly using the  $z_{k,j}$  and the dual action.

If  $y_{k,l} = 0$  for all  $l$  and  $z_{k,l} = 0$  for all  $l$ , then clearly the stabilizer of  $x[k]$  is  $Z_{J_k}$  itself. Repeating this analysis for each  $k$ ,  $1 \leq k \leq r$  and after possibly reordering the Jordan blocks of  $x_i$ , we get the desired result.

**Q.E.D.**

We have an immediate corollary to the lemma which we stated before Theorem 4.9 as Lemma 4.8.

**Corollary 4.15.** For any  $x \in \Xi_{c_i, c_{i+1}}^i$   $Stab(x)$  is connected.

*Proof.* Upon reordering the eigenvalues, we can always assume that  $Stab(x)$  has the form given in (4.20) in Lemma 4.14. This proves the result, since unipotent algebraic groups are always connected and the groups  $Z_{J_{\lambda_j}}$  are connected, since they are centralizers of regular elements in  $\mathfrak{gl}(n_j)$ .

**Q.E.D.**

We can now prove the structural theorem about the group  $Z_i$  that lets us construct the morphism  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  in the general case.

**Theorem 4.16.** Let  $x \in \Xi_{c_i, c_{i+1}}^i$  and let  $Stab(x) \subset Z_i$  denote the isotropy group of  $x$  under the action of  $Z_i$  on  $\Xi_{c_i, c_{i+1}}^i$ . Then as an algebraic group,

$$Z_i = Stab(x) \times K,$$

where  $K$  is a connected, Zariski closed algebraic subgroup of  $Z_i$ .

*Proof.* For the purposes of this proof we denote by  $H$  the group  $Stab(x)$ . Without loss of generality, we assume  $H$  is as given in (4.20). Let  $\mathfrak{z}_i = Lie(Z_i)$  and let  $\mathfrak{h} = Lie(H)$ . Now, by Lemma 4.14,  $\mathfrak{h}$

$$(4.22) \quad \mathfrak{h} = \bigoplus_{j=1}^q \mathfrak{z}_{J_{\lambda_j}} \oplus \bigoplus_{j=q+1}^r \mathfrak{n}_j,$$

where  $\mathfrak{z}_{J_{\lambda_j}}$  is the Lie algebra of the abelian algebraic group  $Z_{J_{\lambda_j}}$  and  $\mathfrak{n}_j = Lie(U_j)$  is a Lie subalgebra of  $\mathfrak{n}^+(n_j)$ , the strictly upper triangular matrices in  $\mathfrak{gl}(n_j)$ .

The proof proceeds in two steps. We first find an algebraic Lie subalgebra  $\mathfrak{k} \subset \mathfrak{z}_i$  such that  $\mathfrak{z}_i = \mathfrak{h} \oplus \mathfrak{k}$  as Lie algebras. We then show that if  $K \subset Z_i$  is the corresponding Zariski closed subgroup  $Z_i = H K$  and  $H \cap K = \{e\}$ . To find  $\mathfrak{k}$ , consider the abelian Lie algebra  $\mathfrak{z}_{J_{\lambda_j}}$  for  $q+1 \leq j \leq r$ . Since  $\mathfrak{z}_{J_{\lambda_j}}$  is abelian, it has a Jordan decomposition as a direct sum of Lie algebras  $\mathfrak{z}_{J_{\lambda_j}} = \mathfrak{z}_{J_{\lambda_j}}^{ss} \oplus \mathfrak{z}_{J_{\lambda_j}}^n$ , where  $\mathfrak{z}_{J_{\lambda_j}}^{ss}$  are the semisimple elements of  $\mathfrak{z}_{J_{\lambda_j}}$  and  $\mathfrak{z}_{J_{\lambda_j}}^n$  are the nilpotent elements. Now the Lie algebra  $\mathfrak{n}_j$  in (4.22) is a subalgebra of  $\mathfrak{z}_{J_{\lambda_j}}^n$ . Take  $\tilde{\mathfrak{n}}_j$  so that  $\mathfrak{z}_{J_{\lambda_j}}^n = \mathfrak{n}_j \oplus \tilde{\mathfrak{n}}_j$ . Let

$$\mathfrak{m}_j = \mathfrak{z}_{J_{\lambda_j}}^{ss} \oplus \tilde{\mathfrak{n}}_j.$$

Note that  $\mathfrak{m}_j \oplus \mathfrak{n}_j = \mathfrak{z}_{J_{\lambda_j}}$ . We claim that  $\mathfrak{m}_j$  is an algebraic subalgebra of  $\mathfrak{z}_{J_{\lambda_j}}$ . Indeed,  $\tilde{\mathfrak{n}}_j$  is algebraic, since it is a nilpotent Lie algebra (see [13], pg 383). Let  $\widetilde{N}_j$  be the corresponding algebraic subgroup. Then  $M_j = \mathbb{C}^\times \times \widetilde{N}_j$  has  $Lie(M_j) = \mathfrak{m}_j$ , as  $\mathbb{C}^\times$  is the semisimple part

of group  $Z_{J_{\lambda_j}}$  (see (4.1)). We then take

$$\mathfrak{k} = \bigoplus_{j=q+1}^r \mathfrak{m}_j.$$

This finishes the first step.

Let  $K = \prod_{j=q+1}^r M_j$  be the Zariski closed, connected algebraic subgroup of  $\prod_{j=q+1}^r Z_{J_{\lambda_j}}$  that corresponds to the algebraic Lie algebra  $\mathfrak{k}$ . We now show that  $Z_i = H \times K$ . By our choice of  $K$ ,  $H \cap K$  is finite. But we also have,  $H \cap K \subset \prod_{j=q+1}^r U_j$  and is thus unipotent (see (4.20)). Since any unipotent group must be connected, we have  $H \cap K = \{e\}$ . Now, it is clear that  $Z_i = HK$ , as  $HK$  is a closed, connected subgroup of  $Z_i$  of dimension  $\dim Z_i$ . This completes the proof.

### Q.E.D.

With Theorem 4.16 in hand, we can define the general  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  morphism of (4.2), as we did in the strongly regular case. Now, suppose we are given  $Z_i$ -orbits in  $\Xi_{c_i, c_{i+1}}^i$ ,  $\mathcal{O}_{a_i}^i = K_{a_i} \cdot x_{a_i} \simeq K_{a_i}$  with  $K_{a_i}$  as in Theorem 4.16 for  $1 \leq i \leq n-1$ , and with  $\mathcal{O}_{a_i}^i$  consisting of regular elements of  $\mathfrak{gl}(i+1)$  for  $1 \leq i \leq n-2$ . We define a morphism

$$\Gamma_n^{a_1, \dots, a_{n-1}} : K_{a_1} \times \dots \times K_{a_{n-1}} \rightarrow \mathfrak{gl}(n)_c \cap S,$$

as in equation (4.4).

Propositions 4.3 and 4.7, Theorem 4.6, and Remark 4.10 from the strongly regular case remain valid in this case by simply replacing the groups  $Z_i$  by the groups  $K_{a_i}$ . We recall that the main ingredient in proving Theorem 4.6 is the fact that the group  $Z_i$  acts freely on  $\mathcal{O}_{a_i}^i$ . The analogue of Theorem 4.9 remains valid in this, as it is easy to show

$$T_y(\text{Im} \Gamma_n^{a_1, a_2, \dots, a_{n-1}}) = V_y,$$

with  $V_y$  as in (2.7).

We obtain at last Theorem 4.2.

**Theorem 4.17.** *The image of the map  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  is exactly one  $A$ -orbit in  $\mathfrak{gl}(n)_c \cap S$ . Moreover, every  $A$ -orbit in  $\mathfrak{gl}(n)_c \cap S$  is of the form  $\text{Im} \Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  for some choice of orbits  $\mathcal{O}_{a_i}^i \subset \Xi_{c_i, c_{i+1}}^i$ , with  $\mathcal{O}_{a_i}^i$  consisting of regular elements of  $\mathfrak{gl}(i+1)$  for  $1 \leq i \leq n-2$ .*

The following corollary of Theorem 4.2 is a generalization of Theorem 3.14 in [9] to include elements that are not necessarily strongly regular.

**Corollary 4.18.** *Let  $x \in \mathfrak{gl}(n)_c \cap S$ . The  $A$ -orbit of  $x$ ,  $A \cdot x$  is a smooth, irreducible subvariety of  $\mathfrak{gl}(n)$  that is isomorphic as an algebraic variety to a closed subgroup  $K_{a_1} \times \dots \times K_{a_{n-1}}$  of the connected algebraic group  $Z_1 \times \dots \times Z_{n-1}$ .*

## 5. COUNTING $A$ -ORBITS IN $\mathfrak{gl}(n)_c^{sreg}$

Using Theorem 4.9, we can count the number of  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$  for any  $c \in \mathbb{C}^{\frac{n(n+1)}{2}}$  and explicitly describe the orbits. From Theorem 4.9 and Remark 4.10 counting the number of  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$  is equivalent to counting the number of  $Z_i$ -orbits in  $\Xi_{c_i, c_{i+1}}^i$  on which  $Z_i$  acts freely. We show in this section that the number of such orbits is directly related to the number of degeneracies in the roots of the monic polynomials  $p_{c_i}(t)$  and  $p_{c_{i+1}}(t)$  (see (1.2)). The study of this problem can be reduced to studying the structure of nilpotent solution varieties  $\Xi_{0,0}^i$ . Thus, we begin our discussion by describing the  $A$ -orbit structure of the nilfibre  $\mathfrak{gl}(n)_0^{sreg}$ .

**5.1. Nilpotent solution varieties and  $A$ -orbits in the nilfibre.** In this section, we study strongly regular matrices in the fibre  $\mathfrak{gl}(n)_0$ . By definition  $x \in \mathfrak{gl}(n)_0$  if and only if  $x_i \in \mathfrak{gl}(i)$  is nilpotent for all  $i$ . Such matrices have been studied by [11] and [12].

We restate Definition 4.1 of the solution variety  $\Xi_{c_i, c_{i+1}}^i$  in this case. Elements of  $\mathfrak{gl}(i+1)$  of the form

$$(5.1) \quad X = \begin{bmatrix} 0 & 1 & \cdots & 0 & y_1 \\ 0 & 0 & \ddots & \vdots & \vdots \\ \vdots & & \ddots & 1 & \vdots \\ 0 & \cdots & \cdots & 0 & y_i \\ z_1 & \cdots & \cdots & z_i & w \end{bmatrix}$$

which are nilpotent define the nilpotent solution variety at level  $i$ , which we denote by  $\Xi_{0,0}^i$ . In this case, it is easy to write down elements in  $\Xi_{0,0}^i$ . For example, we can take all of the  $z_j$ ,  $y_j$ , and  $w$  to be 0. However, such an element is not regular, and so cannot be used to construct a  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  mapping that gives rise to a strongly regular orbit in  $\mathfrak{gl}_0^{sreg}(n)$ . To describe  $A$ -orbits in  $\mathfrak{gl}(n)_0^{sreg}$ , we focus our attention on free  $Z_i$ -orbits in  $\Xi_{0,0}^i$ , (see Theorem 4.9). To find such orbits, we need to compute the characteristic polynomial of  $X$ .

**Proposition 5.1.** *The characteristic polynomial of the matrix in (5.1) is*

$$(5.2) \quad \det(X - t) = (-1)^i \left[ -t^{i+1} + wt^i + \sum_{l=0}^{i-1} \sum_{j=1}^{i-l} z_j y_{j+l} t^{i-1-l} \right].$$

*Proof.* We compute the characteristic polynomial for the matrix in (5.1) using the Schur complement formula for the determinant (see [7], pgs 21-22). In the notation of that reference  $\alpha = \{1, \dots, n-1\}$  and  $\alpha' = \{n\}$ . Let  $J = X_i$  denote the principal nilpotent Jordan block. Then the Schur complement formula in [7] gives

$$(5.3) \quad \det(X - t) = \det(J - t) (w - t) - \underline{z} \operatorname{adj}(J - t) \underline{y},$$

where  $\operatorname{adj}(J - t) \in \mathfrak{gl}(i)$  denotes the classical adjoint matrix,  $\underline{z} = [z_1, \dots, z_i]$  is a row vector, and  $\underline{y} = [y_1, \dots, y_i]^T$  is a column vector. We easily compute that  $\det(J - t) =$

$(-1)^i t^i$ . It is not difficult to see that

$$\text{adj}(J - t) = (-1)^{i-1} \begin{bmatrix} t^{i-1} & t^{i-2} & \dots & \dots & t & 1 \\ 0 & t^{i-1} & t^{i-2} & \dots & \dots & t \\ \vdots & 0 & t^{i-1} & \ddots & & \vdots \\ & & 0 & \ddots & \ddots & \vdots \\ \vdots & & & \ddots & \ddots & t^{i-2} \\ 0 & \dots & & \dots & 0 & t^{i-1} \end{bmatrix}.$$

Now, we compute that the coefficient of  $t^{i-1-l}$  for  $0 \leq l \leq i-1$  in the product  $\underline{z} \text{adj}(J - t) \underline{y}^T$  is

$$(5.4) \quad (-1)^{i-1} \sum_{j=1}^{i-l} z_j y_{j+l}.$$

Summing up the terms in (5.4) for  $0 \leq l \leq i-1$  and using equation (5.3), we obtain the polynomial in (5.2).

**Q.E.D.**

For the matrix in (5.1) to be nilpotent, we require that all of the coefficients of the polynomial in (5.2) (excluding the leading coefficient) vanish.

$$(5.5) \quad \begin{aligned} z_1 y_i &= 0 \\ z_1 y_{i-1} + z_2 y_i &= 0 \\ &\vdots \\ z_1 y_1 + \dots + z_i y_i &= 0 \end{aligned}$$

We claim that  $\Xi_{0,0}^i$  has exactly two free  $Z_i$ -orbits. These correspond to choosing either  $z_1 \in \mathbb{C}^\times$ ,  $y_i = 0$ , or  $y_i \in \mathbb{C}^\times$ ,  $z_1 = 0$  in the first equation of (5.5). We claim that any point in  $\Xi_{0,0}^i$  with  $z_1 \neq 0$  is in

$$(5.6) \quad \mathcal{O}_L^i = \begin{bmatrix} 0 & 1 & \dots & 0 & 0 \\ 0 & 0 & \ddots & \vdots & \vdots \\ \vdots & & \ddots & 1 & \vdots \\ 0 & \dots & \dots & 0 & 0 \\ z_1 & \dots & \dots & z_i & 0 \end{bmatrix},$$

with  $z_j \in \mathbb{C}, 2 \leq j \leq i$ . Any point in  $\Xi_{0,0}^i$  with  $y_i \in \mathbb{C}^\times$  is in

$$(5.7) \quad \mathcal{O}_U^i = \begin{bmatrix} 0 & 1 & \cdots & 0 & y_1 \\ 0 & 0 & \ddots & \vdots & \vdots \\ \vdots & & \ddots & 1 & \vdots \\ 0 & \cdots & \cdots & 0 & y_i \\ 0 & \cdots & \cdots & 0 & 0 \end{bmatrix},$$

with  $y_j \in \mathbb{C}, 1 \leq j \leq i-1$ . To verify this claim, note that if  $z_1 \neq 0$  and  $y_i = 0$ , then  $y_1 = 0, y_2 = 0, \dots, y_{i-1} = 0$  by successive use of equations (5.5). The case  $y_i \neq 0, z_1 = 0$  is similar. An easy computation in linear algebra, as in the proof of Lemma 4.14 gives that  $Z_i$  acts freely on  $\mathcal{O}_U^i$  and  $\mathcal{O}_L^i$ . We think of  $\mathcal{O}_U^i$  as the “upper orbit” in  $\Xi_{0,0}^i$  and  $\mathcal{O}_L^i$  as the “lower orbit”. Both orbits consist of regular elements of  $\mathfrak{gl}(i+1)$  by Theorem 4.13.

Now, suppose that both  $z_1 = 0 = y_i$  in (5.5). It is easy to see that such an element has a non-trivial isotropy group in  $Z_i$  containing the one dimensional subgroup of matrices

$$\begin{bmatrix} 1 & 0 & \cdots & c \\ 0 & 1 & \ddots & \vdots \\ \vdots & & \ddots & 0 \\ 0 & \cdots & \cdots & 1 \end{bmatrix},$$

with  $c \in \mathbb{C}^\times$ . It does not belong to a  $Z_i$ -orbit of dimension  $i$ .

Thus, to analyze  $\mathfrak{gl}_0^{sreg}(n)$ , we consider only the  $Z_i$ -orbits  $\mathcal{O}_U^i, \mathcal{O}_L^i$ . Using the orbits  $\mathcal{O}_U^i, \mathcal{O}_L^i$ , we can construct  $2^{n-1}$  morphisms of the form  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  where  $a_i = \mathcal{O}_U^i, \mathcal{O}_L^i$  for  $1 \leq i \leq n-1$ . The following result follows immediately from Theorems 4.9 and 4.12 and Remark 4.10.

**Theorem 5.2.** *The nilfibre  $\mathfrak{gl}(n)_0^{sreg}$  contains  $2^{n-1}$   $A$ -orbits. On  $\mathfrak{gl}(n)_0^{sreg}$  the orbits of  $A$  are orbits of a free action of the algebraic group  $(\mathbb{C}^\times)^{n-1} \times \mathbb{C}^{\binom{n}{2}-n+1}$ .*

The nilfibre has much more structure than Theorem 5.2 indicates. We can see this additional structure by considering an example of an  $A$ -orbit given as the image of a morphism  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  with  $\mathcal{O}_{a_i}^i = \mathcal{O}_U^i, \mathcal{O}_L^i$  and its closure. Closure here means either closure in the Zariski topology in  $\mathfrak{gl}(n)$  or in the Euclidean topology, since  $A$ -orbits are constructible sets these two different types of closure agree (see Theorem 3.7 in [9]). For ease of notation, we will abbreviate from now on  $\mathcal{O}_L^i = L, \mathcal{O}_U^i = U$ .

**Example 5.3.** Let us take our  $A$ -orbit in  $\mathfrak{gl}(4)_0^{sreg}$  to be the image of  $\Gamma_4^{a_1, a_2, a_3}$  with  $a_1 = L, a_2 = L, a_3 = U$ . For coordinates, let us take for  $\mathcal{O}_L^1, z_1 \in \mathbb{C}^\times$ , for  $\mathcal{O}_L^2, z_2 \in \mathbb{C}^\times, z_3 \in \mathbb{C}$ , and for  $\mathcal{O}_U^3, y_1, y_2 \in \mathbb{C}, y_3 \in \mathbb{C}^\times$ . In these coordinates, we compute that  $Im\Gamma^{L,L,U}$  is

$$(5.8) \quad Im\Gamma^{L,L,U} = \begin{bmatrix} 0 & 0 & 0 & \frac{y_3}{z_1 z_2} \\ z_1 & 0 & 0 & \frac{y_2}{z_2} - \frac{y_3 z_3}{z_2^2} \\ z_1 z_3 & z_2 & 0 & y_1 \\ 0 & 0 & 0 & 0 \end{bmatrix}.$$

Since for  $x \in \mathfrak{gl}(n)_c^{sreg}$ ,  $\overline{A \cdot x}$  is an irreducible variety of dimension  $\binom{n}{2}$  by Theorem 3.12 in [9], we compute the closure

$$(5.9) \quad \overline{Im\Gamma^{L,L,U}} = \begin{bmatrix} 0 & 0 & 0 & a_1 \\ a_2 & 0 & 0 & a_3 \\ a_4 & a_5 & 0 & a_6 \\ 0 & 0 & 0 & 0 \end{bmatrix},$$

with  $a_i \in \mathbb{C}$  for  $1 \leq i \leq 6$ .  $\overline{Im\Gamma^{L,L,U}}$  is a nilradical of a Borel subalgebra that contains the standard Cartan subalgebra of diagonal matrices in  $\mathfrak{gl}(4)$ . The easiest way to see this is to note that the strictly lower triangular matrices in  $\mathfrak{gl}(4)$  are conjugate to  $\overline{Im\Gamma^{L,L,U}}$  by the permutation  $\tau = (1432)$ .

This example illustrates that the  $A$ -orbits in  $\mathfrak{gl}(n)_0^{sreg}$  are essentially parameterized by prescribing whether or not the  $i \times i$  cutoff of an element  $x \in \mathfrak{gl}(n)_0$  has zeroes in its  $i$ th column or zeroes in its  $i$ th row. This is because for an  $x \in \mathfrak{gl}(n)_0$  to be in the image of a morphism  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  with  $a_i = L, U$ , the  $i$ th row or the  $i$ th column of  $x_i$  must entirely consist of zeroes for each  $i$  by Proposition 4.3.

Contrast this with the following example of a matrix  $x \in \mathfrak{gl}(n)_0$  each of whose cutoffs is regular, but that is not strongly regular.

**Example 5.4.** Consider  $x \in \mathfrak{gl}(4)_0$

$$(5.10) \quad x = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & x_2 \\ 0 & 1 & 0 & x_3 \\ y_1 & 0 & 0 & 0 \end{bmatrix},$$

where  $x_2 \in \mathbb{C}^\times$ ,  $y_1 \in \mathbb{C}^\times$ , and  $x_3 \in \mathbb{C}$ . Note that both the 4th column and row of this matrix have non-zero entries. Thus, this matrix cannot be in the image of a morphism  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  with  $a_i = L, U$  and is not strongly regular. However, one can easily check that each cutoff of this matrix is regular so that  $x \in \mathfrak{gl}(4)_0 \cap S$ . Thus,  $\mathfrak{gl}(4)_0^{sreg}$  is a proper subset of  $\mathfrak{gl}(4)_0 \cap S$ . (One can also see that this matrix is not strongly regular directly by observing that  $\mathfrak{z}_{\mathfrak{gl}(3)}(x_3) \cap \mathfrak{z}_{\mathfrak{gl}(4)}(x) \neq 0$ .)

Example 5.3 demonstrates that although the  $A$ -orbits  $Im\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  may be complicated, their closures are relatively simple. In this example, the closure is a nilradical of a Borel subalgebra that contains the standard Cartan subalgebra of diagonal matrices in  $\mathfrak{gl}(n)$ . This is in fact the case in general.



**Theorem 5.5.** *Let  $x \in \mathfrak{gl}(n)_0^{sreg}$  and let  $A \cdot x$  denote the  $A$ -orbit of  $x$ . Then  $\overline{A \cdot x}$  is a nilradical of a Borel subalgebra in  $\mathfrak{gl}(n)$  that contains the standard Cartan subalgebra of diagonal matrices. More explicitly, if the  $A$ -orbit is given by  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  where  $a_i = U$  or  $L$  for  $1 \leq i \leq n-1$ , then  $\overline{A \cdot x}$  is the set of matrices of the following form*

$$\mathfrak{n}_{a_1, \dots, a_{n-1}} := \left\{ x : x_{i+1} = \begin{bmatrix} b_1 \\ x_i \\ \vdots \\ b_i \\ 0 \end{bmatrix} \right\},$$

with  $b_j \in \mathbb{C}$  if  $a_i = U$ , or if  $a_i = L$

$$\mathfrak{n}_{a_1, \dots, a_{n-1}} := \left\{ x : x_{i+1} = \begin{bmatrix} x_i & 0 \\ b_1 & \dots & b_i & 0 \end{bmatrix} \right\},$$

with  $b_j \in \mathbb{C}$ .

*Proof.* Let  $x \in \mathfrak{gl}(n)_0^{sreg}$ . By Gerstenhaber's Theorem [6], it suffices to show the second statement of the theorem. Then  $\overline{A \cdot x}$  is a linear space consisting of nilpotent matrices of dimension  $\binom{n}{2}$ , which is clearly normalized by the diagonal matrices in  $\mathfrak{gl}(n)$ .

Suppose that  $A \cdot x = Im \Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  with  $a_i = U, L$ . Then it is easy to see  $A \cdot x \subset \mathfrak{n}_{a_1, \dots, a_{n-1}}$  by the definition of the morphism  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  in section 4.2. By Theorem 3.12 in [9],  $A \cdot x$  is an irreducible variety of dimension  $\binom{n}{2}$ . Thus,  $\overline{A \cdot x} \subset \mathfrak{n}_{a_1, \dots, a_{n-1}}$  is an irreducible, closed subvariety of dimension  $\binom{n}{2} = \dim \mathfrak{n}_{a_1, \dots, a_{n-1}}$ , and therefore  $\overline{A \cdot x} = \mathfrak{n}_{a_1, \dots, a_{n-1}}$ .

**Q.E.D.**

**Remark 5.6.** The strictly lower triangular matrices  $\mathfrak{n}^-$  is the closure of the  $A$ -orbit  $\Gamma_n^{L, \dots, L}$ , and the strictly upper triangular matrices  $\mathfrak{n}^+$  is the closure of the  $A$ -orbit  $\Gamma_n^{U, \dots, U}$ .

By Theorem 5.5, the  $A$ -orbits in  $\mathfrak{gl}(n)_0^{sreg}$  give rise to  $2^{n-1}$  Borel subalgebras of  $\mathfrak{gl}(n)$  that contain the diagonal matrices. Moreover, each of the nilradicals  $\mathfrak{n}_{a_1, \dots, a_{n-1}}$  is conjugate to the strictly lower triangular matrices by a unique permutation in  $\mathcal{S}_n$ , the symmetric group on  $n$  letters. The  $A$ -orbits in  $\mathfrak{gl}(n)_0^{sreg}$  thus determine  $2^{n-1}$  permutations. We now describe these permutations.

**Theorem 5.7.** *Let  $\mathfrak{n}^-$  denote the strictly lower triangular matrices in  $\mathfrak{gl}(n)$  and let  $\mathfrak{n}_{a_1, \dots, a_{n-1}}$  be as in Theorem 5.5. Then  $\mathfrak{n}_{a_1, \dots, a_{n-1}}$  is obtained from  $\mathfrak{n}^-$  by conjugating by a permutation  $\sigma = \tau_1 \tau_2 \dots \tau_{n-1}$  where  $\tau_i \in \mathcal{S}_{i+1}$  is either the long element  $w_{i,0}$  of  $\mathcal{S}_{i+1}$  or the identity permutation,  $id_i$ . The  $\tau_i$  are determined by the values of  $a_i$  as follows. Let  $a_n = L$ . Starting with  $i = n-1$ , we compare  $a_i, a_{i+1}$ . If  $a_i = a_{i+1}$ , then  $\tau_i = id_i$ , but if  $a_i \neq a_{i+1}$ , then  $\tau_i = w_{0,i}$ .*

The same procedure beginning with  $a_n = U$  produces a permutation that conjugates the strictly upper triangular matrices  $\mathfrak{n}^+$  into  $\mathfrak{n}_{a_1, \dots, a_{n-1}}$ .

Before proving Theorem 5.7, let us see it in action in Example 5.3. In that case the nilradical in equation (5.9) is  $\mathfrak{n}_{L,L,U}$ . Thus, according to Theorem 5.7,  $\sigma = (13)(14)(23)$ , the product of the long elements for  $\mathcal{S}_3$  and  $\mathcal{S}_4$ . Notice that  $\sigma = (1432)$ , which is precisely the permutation that we observed conjugates the strictly lower triangular matrices in  $\mathfrak{gl}(4)$  into  $\mathfrak{n}_{L,L,U}$  in Example 5.3.

We now prove Theorem 5.7. In the proof, we will make use of the following notation. Let  $\pi_i : \mathfrak{gl}(n) \rightarrow \mathfrak{gl}(i)$  be the projection  $\pi_i(x) = x_i$ . For any subset  $S \subset \mathfrak{gl}(n)$  we will denote by  $S_i$  the image  $\pi_i(S)$ .

*Proof.* Suppose that  $L = a_n = a_{n-1} = \dots = a_{i+1}$ , but  $a_i = U$ . Conjugating  $\mathfrak{n}^-$  by  $\tau_i = w_{0,i}$  produces the nilradical  $\text{Ad}(\tau_i) \cdot \mathfrak{n}^-$  with  $(\text{Ad}(\tau_i) \cdot \mathfrak{n}^-)_{i+1} = \mathfrak{n}_{i+1}^+$ . Thus,  $(\mathfrak{n}_{a_1, \dots, a_{n-1}})_{i+1}$  and  $(\text{Ad}(\tau_i) \cdot \mathfrak{n}^-)_{i+1}$  now have the same  $i+1$  columns. We also note that the components of  $\text{Ad}(\tau_i) \cdot \mathfrak{n}^-$  and  $\mathfrak{n}_{a_1, \dots, a_{n-1}}$  in  $\mathfrak{gl}(i+1)^\perp$  also agree, as  $\tau_i$  permutes the strictly lower triangular entries of the rows below the  $i+1$ th row of  $\mathfrak{n}^-$  amongst themselves. Now, we start the procedure again with  $(\text{Ad}(\tau_i) \cdot \mathfrak{n}^-)_{i+1}$  and  $a_i = U$  use induction. We note that conjugating  $\text{Ad}(\tau_i) \cdot \mathfrak{n}^-$  by a permutation in  $\mathcal{S}_k$  with  $k \leq i+1$  leaves the component of  $\text{Ad}(\tau_i) \cdot \mathfrak{n}^-$  in  $\mathfrak{gl}(i+1)^\perp$  unchanged. This proves the theorem.

**Q.E.D.**

**Remark 5.8.** There is a related result in recent work of Parlett and Strang. See Lemma 1 in [12], pg 1736.

**5.2. General solution varieties  $\Xi_{c_i, c_{i+1}}^i$  and counting  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$ .** Now, we use our understanding of the nilpotent case to count  $A$ -orbits in the general case. Recall the definition of the solution variety  $\Xi_{c_i, c_{i+1}}^i$  in section 4.1. We also recall some notation. Given  $c \in \mathbb{C}^{\frac{n(n+1)}{2}}$ , we write  $c = (c_1, \dots, c_i, \dots, c_n)$  with  $c_i = (z_1, \dots, z_i) \in \mathbb{C}^i$  and define a corresponding monic polynomial  $p_{c_i}(t)$  with coefficients given by  $c_i$  (see (1.2)). Recall also that  $J = J_{\lambda_1} \oplus \dots \oplus J_{\lambda_r}$ ,  $J_{\lambda_k} \in \mathfrak{gl}(n_k)$ , denotes the regular Jordan form that is the  $i \times i$  cutoff of the matrix in (4.1). We now describe the  $Z_i$ -orbit structure of the variety  $\Xi_{c_i, c_{i+1}}^i$  for any  $c_i \in \mathbb{C}^i$  and  $c_{i+1} \in \mathbb{C}^{i+1}$ .

As in the nilpotent case, to understand  $\Xi_{c_i, c_{i+1}}^i$  we must compute the characteristic polynomial of the matrix in (4.1).

**Proposition 5.9.** *The characteristic polynomial of the matrix in (4.1) is*  
(5.11)

$$(w - t) \prod_{k=1}^r (\lambda_k - t)^{n_k} + \sum_{j=1}^r \left[ (-1)^{n_j} \prod_{k=1, k \neq j}^r (\lambda_k - t)^{n_k} \sum_{l=0}^{n_j-1} \sum_{j'=1}^{n_j-l} z_{j,j'} y_{j,j'+l} (t - \lambda_j)^{n_j-1-l} \right].$$

The proof of this proposition reduces to the case where  $J$  is a single Jordan block of eigenvalue  $\lambda$ . The case of a single Jordan block follows easily from the nilpotent case in Proposition 5.1 by a simple change of variables.

We need to understand the conditions that  $z_{i,j}$ ,  $y_{i,j}$ , and  $w$  must satisfy so that polynomial in (5.11) is equal to the monic polynomial  $p_{c_{i+1}}(t)$ .  $w$  is easily determined by considering the trace of the matrix in (4.1). The values of the  $z_{i,j}$  and the  $y_{i,j}$  are directly related to the number of roots in common between the polynomials  $p_{c_i}(t)$  and  $p_{c_{i+1}}(t)$ . Suppose that the polynomials  $p_{c_i}(t)$  and  $p_{c_{i+1}}(t)$  have  $j$  roots in common, where  $1 \leq j \leq r$ . Then we claim that  $\Xi_{c_i, c_{i+1}}^i$  has precisely  $2^j$  free  $Z_i$ -orbits. Consider the Jordan block corresponding to the eigenvalue  $\lambda_k$ . First, suppose that  $\lambda_k$  is a root of  $p_{c_{i+1}}(t)$ . Then Proposition 5.9 implies

$$(5.12) \quad z_{k,1}y_{k,n_k} = 0.$$

However, if  $\lambda_k$  is not a root of  $p_{c_{i+1}}(t)$ , then Proposition 5.9 gives

$$(5.13) \quad z_{k,1}y_{k,n_k} \in \mathbb{C}^\times.$$

As in the nilpotent case, (5.12) gives rise to two separate cases.

$$(5.14) \quad z_{k,1} \in \mathbb{C}^\times, \quad y_{k,n_k} = 0$$

and

$$(5.15) \quad y_{k,n_k} \in \mathbb{C}^\times, \quad z_{k,1} = 0.$$

In the case of (5.14), we can argue using (5.11) that the coordinates  $y_{k,i}$  for  $1 \leq i \leq n_k$  can be solved uniquely as regular functions of  $z_{k,1} \in \mathbb{C}^\times$ ,  $z_{k,2}, \dots, z_{k,n_k} \in \mathbb{C}$ . And in the case of (5.15), we can solve for  $z_{k,i}$  as regular functions of  $y_{k,n_k} \in \mathbb{C}^\times$  and  $y_{k,i} \in \mathbb{C}$ ,  $1 \leq i \leq n_k - 1$ . In the case of (5.13), we can take either the  $z_{k,i}$  as coordinates that determine the  $y_{k,i}$  or vice versa. For concreteness, we take  $y_{k,i} = p_i(z_{k,1}, \dots, z_{k,n_k})$  to be regular functions of  $z_{k,1} \in \mathbb{C}^\times$ ,  $z_{k,2}, \dots, z_{k,n_k} \in \mathbb{C}$ .

**Remark 5.10.** The solutions in the cases of (5.12) and (5.13) are obtained by setting the derivatives of the polynomial in (5.11) up to order  $n_p - 1$  evaluated at  $\lambda_p$  equal to the corresponding derivatives of the polynomial  $p_{c_{i+1}}(t)$  evaluated at  $\lambda_p$  for  $1 \leq p \leq r$ . This produces  $r$  systems of linear equations. Each system involves only the coordinates  $z_{p,k}$  and  $y_{p,k}$  from the  $p$ th Jordan block. This follows directly from the fact that the eigenvalues  $\lambda_s$  are all distinct. Each system can then be solved inductively using the fact that the coefficient of  $(-1)^{n_p}(t - \lambda_p)^q \prod_{k=1, k \neq p}^r (\lambda_k - t)^{n_r}$  is given by the  $n - q$ th row of the matrix product

$$(5.16) \quad \begin{bmatrix} z_{p,1} & z_{p,2} & \cdots & z_{p,n_p} \\ 0 & z_{p,1} & \ddots & \vdots \\ \vdots & & \ddots & z_{p,2} \\ 0 & \cdots & 0 & z_{p,1} \end{bmatrix} \cdot \begin{bmatrix} y_{p,1} \\ \vdots \\ \vdots \\ y_{p,n_p} \end{bmatrix}.$$

Recall that  $Z_i$  is the direct product  $Z_i = Z_{J_{\lambda_1}} \times \cdots \times Z_{J_{\lambda_r}}$ , with  $Z_{J_{\lambda_s}}$  the centralizer of  $J_{\lambda_s}$ . The adjoint action of  $Z_i$  on  $\Xi_{c_i, c_{i+1}}^i$  is a diagonal action where  $Z_{J_{\lambda_s}}$  acts only on the columns and rows of an  $x \in \Xi_{c_i, c_{i+1}}^i$  containing  $J_{\lambda_s}$ . This observation allowed us to

decompose a  $Z_i$ -orbit  $\mathcal{O}$  into the product of  $Z_{J_{\lambda_k}}$ -orbits,  $\mathcal{O}_k \subset \mathbb{C}^{2n_k}$  as in equation (4.19), which we restate here for the convenience of the reader.

$$\mathcal{O} \simeq \mathcal{O}_1 \times \cdots \times \mathcal{O}_k,$$

where the isomorphism is  $Z_i$ -equivariant and  $Z_{J_{\lambda_k}}$  acts on  $\mathcal{O}_k$  as in equation (4.18). If  $\lambda_k$  is a root of  $p_{c_{i+1}}(t)$ , then (5.12) gives rise to two free  $Z_{J_{\lambda_k}}$ -orbits, an “upper” orbit  $\mathcal{O}_U^k$  in the case of (5.15) and a “lower” orbit  $\mathcal{O}_L^k$  in the case of (5.14). This is proved similarly to the nilpotent case. If on the other hand,  $\lambda_k$  is not a root of  $p_{c_{i+1}}(t)$ , and we have (5.13), then the vector

$$(5.17) \quad ([z_{k,1}, \dots, z_{k,n_k}], [p_1(z_{k,1}, \dots, z_{k,n_k}), \dots, p_k(z_{k,1}, \dots, z_{k,n_k})]^T) \in \mathbb{C}^{2n_k}$$

is a free  $Z_{J_k}$ -orbit under the action of  $Z_{J_k}$  defined in (4.18). Thus, using the orbits  $\mathcal{O}_U^k$  and  $\mathcal{O}_L^k$  for  $1 \leq k \leq j$ , we can construct  $2^j$  free  $Z_i$ -orbits in  $\Xi_{c_i, c_{i+1}}^i$  by (4.19).

Now, using Theorem 4.13, we can construct  $2^{\sum_{i=1}^{n-1} j_i} \Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  morphisms into  $\mathfrak{gl}(n)_c^{sreg}$  where  $j_i$  is the number of roots in common to the monic polynomials  $p_{c_i}(t)$  and  $p_{c_{i+1}}(t)$ . The following result follows immediately from Theorem 4.9 and Theorem 4.12 and Remark 4.10.

**Theorem 5.11.** *Let  $c = (c_1, c_2, \dots, c_i, c_{i+1}, \dots, c_n) \in \mathbb{C}^{\frac{n(n+1)}{2}}$ . Suppose there are  $0 \leq j_i \leq i$  roots in common between the monic polynomials  $p_{c_i}(t)$  and  $p_{c_{i+1}}(t)$ . Then the number of  $A$ -orbits in  $\mathfrak{gl}(n)_c^{sreg}$  is exactly  $2^{\sum_{i=1}^{n-1} j_i}$ . Further, on  $\mathfrak{gl}(n)_c^{sreg}$  the orbits of  $A$  are the orbits of a free algebraic action of the commutative, connected algebraic group  $Z = Z_1 \times \cdots \times Z_{n-1}$  on  $\mathfrak{gl}(n)_c^{sreg}$ .*

**Remark 5.12.** A similar result is obtained in recent work of Bielawski and Pidstrygach [1]. See Remark 1.3 in the introduction.

Theorem 5.11 lets us identify exactly where the action of the group  $A$  is transitive on  $\mathfrak{gl}(n)_c^{sreg}$ . Let  $\Theta_n$  be the set of  $c \in \mathbb{C}^{\frac{n(n+1)}{2}}$  such that the monic polynomials  $p_{c_i}(t)$  and  $p_{c_{i+1}}(t)$  have no roots in common. From Remark 2.16 in [9], it follows that  $\Theta_n \subset \mathbb{C}^{\frac{n(n+1)}{2}}$  is Zariski principal open.

**Corollary 5.13.** The action of  $A$  is transitive on  $\mathfrak{gl}(n)_c^{sreg}$  if and only if  $c \in \Theta_n$ .

**Remark 5.14.** We will see in the next section that for  $c \in \Theta_n$ ,  $\mathfrak{gl}(n)_c^{sreg} = \mathfrak{gl}(n)_c$ . Thus, for  $c \in \Theta_n$  the fibre  $\mathfrak{gl}(n)_c$  consists entirely of strongly regular elements.

Corollary 5.13 allows us to enlarge the set of generic matrices  $\mathfrak{gl}(n)_\Omega$  studied by Kostant and Wallach.

**5.3. The new set of generic matrices  $\mathfrak{gl}(n)_\Theta$ .** We can expand the set of matrices  $\mathfrak{gl}(n)_\Omega$  studied by Kostant and Wallach by relaxing the condition that each cutoff is regular semisimple. More precisely, let  $\sigma(x_i)$  denote the spectrum of  $x_i \in \mathfrak{gl}(i)$ , where  $x_i$  is viewed as an element of  $\mathfrak{gl}(i)$ . We define a Zariski open subset of elements of  $\mathfrak{gl}(n)$  by

$$\mathfrak{gl}(n)_\Theta = \{x \in \mathfrak{gl}(n) \mid \sigma(x_{i-1}) \cap \sigma(x_i) = \emptyset, 2 \leq i \leq n\}.$$

Clearly,  $\mathfrak{gl}(n)_\Theta = \bigcup_{c \in \Theta_n} \mathfrak{gl}(n)_c$ .

**Theorem 5.15.** *The elements of  $\mathfrak{gl}(n)_\Theta$  are strongly regular and therefore  $\mathfrak{gl}(n)_c^{sreg} = \mathfrak{gl}(n)_c$  for  $c \in \Theta_n$ . Moreover,  $\mathfrak{gl}(n)_\Theta$  is the maximal subset of  $\mathfrak{gl}(n)$  for which the action of  $A$  is transitive on the fibres of  $\Phi$ .*

*Proof.* If  $p_{c_i}(t)$  and  $p_{c_{i+1}}(t)$  are relatively prime polynomials, then we claim  $\Xi_{c_i, c_{i+1}}^i$  is exactly one free  $Z_i$ -orbit. Indeed, in this case we only have the conditions (5.13) for  $1 \leq k \leq r$ . Thus, we can apply our observation in (5.17) to see that  $\Xi_{c_i, c_{i+1}}^i$  is one free  $Z_i$ -orbit and hence consists of regular elements of  $\mathfrak{gl}(i+1)$  by Theorem 4.13. Given  $x \in \mathfrak{gl}(n)_c$  with  $c \in \Theta_n$ , we claim that  $x \in \text{Im} \Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  with  $a_i = \Xi_{c_i, c_{i+1}}^i$  for  $1 \leq i \leq n-1$ . Indeed,  $x_2 \in \Xi_{c_1, c_2}^1$  and is therefore regular. Thus, by Remark 4.4, there exists a  $g_2 \in GL(2)$  such that  $(\text{Ad}(g_2) \cdot x)_3 = (\text{Ad}(g_2) \cdot x_3) \in \Xi_{c_2, c_3}^2$ . Now, suppose  $x_{i+1} \in \text{Ad}(GL(i)) \cdot \Xi_{c_i, c_{i+1}}^i$ . Thus,  $x_{i+1} \in \mathfrak{gl}(i+1)$  is regular and Remark 4.4 provides a  $g_{i+1} \in GL(i+1)$  such that  $(\text{Ad}(g_{i+1}) \cdot x)_{i+2} = \text{Ad}(g_{i+1}) \cdot x_{i+2} \in \Xi_{c_{i+1}, c_{i+2}}^{i+1}$ . By induction,  $x_{j+1} \in \text{Ad}(GL(j)) \cdot \Xi_{c_j, c_{j+1}}^j$  for any  $j$ ,  $1 \leq j \leq n-1$ . Proposition 4.3 implies that  $x \in \text{Im} \Gamma_n^{a_1, a_2, \dots, a_{n-1}}$ . Thus, by Theorem 4.9,  $\mathfrak{gl}(n)_\Theta \subset \mathfrak{gl}(n)^{sreg}$ . The rest of the Theorem follows from Corollary 5.13.

### Q.E.D.

**Remark 5.16.** For a matrix  $x \in \mathfrak{gl}(n)_c$  where  $c \in \Theta_n$ , its strictly upper triangular part is determined by its strictly lower triangular part. This follows from the definition of the morphisms  $\Gamma_n^{a_1, a_2, \dots, a_{n-1}}$  and the fact that all of the  $y_{k,i}$  can be solved uniquely as regular functions of the  $z_{k,i}$  for  $1 \leq i \leq n_k$ ,  $1 \leq k \leq r$ .

The fact that elements of  $\mathfrak{gl}(n)_\Theta$  are strongly regular gives us the following corollary.

**Corollary 5.17.** Let  $x \in \mathfrak{gl}(n)_\Theta$ . Then  $x_i \in \mathfrak{gl}(i)$  is regular for all  $i$ .

Using Corollary 5.13 and Theorem 5.11, we get a direct generalization of Theorem 3.23 in [9] for the case of  $\Theta_n$ .

**Corollary 5.18.** For  $c \in \Theta_n \subset \mathbb{C}^{\frac{n(n+1)}{2}}$ ,  $\mathfrak{gl}(n)_c \simeq Z_1 \times \dots \times Z_{n-1}$  as algebraic varieties.

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